

Cabinet

Wednesday 25 September 2019

**10.00 am Taunton Library Meeting Room,
Paul Street, Taunton, TA1 3XZ**



To: The Members of the Cabinet

Cllr M Chilcott (Vice-Chair), Cllr D Fothergill (Chairman), Cllr D Hall, Cllr D Huxtable, Cllr C Lawrence, Cllr F Nicholson, Cllr F Purbrick and Cllr J Woodman

All Somerset County Council Members are invited to attend meetings of the Cabinet and Scrutiny Committees.

Issued By Scott Wooldridge, Strategic Manager - Governance and Risk and Monitoring Officer
- 17 September 2019

For further information about the meeting, please contact Michael Bryant or Scott Wooldridge
or 01823 357628 democraticservices@somerset.gov.uk

Guidance about procedures at the meeting follows the printed agenda.

This meeting will be open to the public and press, subject to the passing of any resolution under Regulation 4 of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012.

This agenda and the attached reports and background papers are available on request prior to the meeting in large print, Braille, audio tape & disc and can be translated into different languages. They can also be accessed via the council's website on www.somerset.gov.uk/agendasandpapers



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AGENDA

Item Cabinet - 10.00 am Wednesday 25 September 2019

**** Public Guidance notes contained in agenda annexe ****

1 **Apologies for Absence**

2 **Declarations of Interest**

Details of Cabinet Member interests in District, Town and Parish Councils will be displayed in the meeting room. The Statutory Register of Member's Interests can be inspected via the Community Governance team.

3 **Minutes from the meeting held on 14 August 2019** (Pages 7 - 12)

4 **Public Question Time**

The Chair will allow members of the public to present a petition on any matter within the Cabinet's remit. Questions or statements about any matter on the agenda for this meeting may be taken at the time when each matter is considered.

5 **Joint Strategic Needs Assessment** (Pages 13 - 18)

To consider the report

6 **Quarter 1+1 Performance Report** (Pages 19 - 56)

To consider the report

7 **Month 4 (Q1+1) Revenue Budget Monitoring** (Pages 57 - 74)

To consider the report

8 **Month 4 Capital Budget Monitoring** (Pages 75 - 90)

To consider the report

9 **Any other urgent items of business**

The Chair may raise any items of urgent business.

Agenda Annexe

THE MEETING – GUIDANCE NOTES

1 **Inspection of Papers or Statutory Register of Member's Interests**

Any person wishing to inspect reports or the background papers for any item on the agenda or inspect the Register of Member's Interests should contact the Committee Administrator on 01823 357628 or democraticservices@somerset.gov.uk

2 **Notes of the Meeting**

Details of the issues discussed and decisions taken at the meeting will be set out in the Minutes, which the committee or board will be asked to approve as a correct record at its next meeting. In the meantime, details of the decisions taken can be obtained from the Committee Administrator.

3 **Members' Code of Conduct Requirements**

When considering the declaration of interests and their actions as a councillor, Members are reminded of the requirements of the Members' Code of Conduct and the underpinning Principles of Public Life: HONESTY; INTEGRITY; SELFLESSNESS; OBJECTIVITY; ACCOUNTABILITY; OPENNESS; LEADERSHIP. The Code of Conduct can be viewed at: <http://www.somerset.gov.uk/organisation/key-documents/the-councils-constitution/>

Public Question Time

4

At the Chair's invitation you may ask questions and/or make statements or comments about **any matter on the Committees / Boards agenda**. You may also present a petition on any matter within the Committee / Boards remit. **The length of public question time will be no more than 30 minutes in total.**

A slot for Public Question Time is set aside near the beginning of the meeting, after the minutes of the previous meeting have been signed. However, questions or statements about any matter on the agenda for this meeting may be taken at the time when each matter is considered.

If you wish to speak at the meeting or submit a petition then you will need to submit your statement or question in writing to the Committee Administrator by 5.00pm three clear working days before the meeting. You can send an email to democraticservices@somerset.gov.uk or send post to Democratic Services, County Hall, Taunton, TA1 4DY.

You must direct your questions and comments through the Chair. You may not take direct part in the debate.

The Monitoring Officer has the discretion to reject any question /statement/petition that is not in accordance with Council policy.

Remember that the amount of time you speak will be restricted normally to three minutes.

5 **Hearing Aid Loop System**

To assist hearing aid users, the meeting room has an infra-red audio transmission system. This works in conjunction with a hearing aid in the T position, but we also need to provide you with a small personal receiver. Please request one from the Committee Administrator and return at the end of the meeting.

6 **Emergency Evacuation Procedure**

In the event of the fire alarm sounding, members of the public are requested to leave the building via the signposted emergency exit, and proceed to the collection area outside Shire Hall.

7 **Cabinet Forward Plan**

The latest published version of the Forward Plan is available for public inspection at County Hall or on the County Council web site at:

<http://www.somerset.gov.uk/irj/public/council/futureplans/futureplan?rid=/guid/505e09a3-cd9b-2c10-89a0-b262ef879920>.

8 **Excluding the Press and Public for part of the meeting**

There may occasionally be items on the agenda that cannot be debated in public for legal reasons (such as those involving confidential and exempt information) and these will be highlighted in the Forward Plan. In those circumstances, the public and press will be asked to leave the room while the committee/board goes into Private Session.

9 **Recording of meetings**

The Council supports the principles of openness and transparency, it allows filming, recording and taking photographs at its meetings that are open to the public providing it is done in a non-disruptive manner. Members of the public may use Facebook and Twitter or other forms of social media to report on proceedings and a designated area will be provided for anyone who wishing to film part or all of the proceedings. No filming or recording will take place when the press and public are excluded for that part of the meeting. As a matter of courtesy to the public, anyone wishing to film or record proceedings is asked to provide reasonable notice to the Committee Administrator so that the relevant Chair can inform those present at the start of the meeting.

We would ask that, as far as possible, members of the public aren't filmed unless they are playing an active role such as speaking within a meeting and there may be occasions when speaking members of the public request not to be filmed.

The Council will be undertaking audio recording of some of its meetings in County Hall

as part of its investigation into a business case for the recording and potential webcasting of meetings in the future.

A copy of the Council's Recording of Meetings Protocol should be on display at the meeting for inspection, alternatively contact the Committee Administrator for the meeting in advance.

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THE CABINET

Minutes of a Meeting of the Cabinet held in the meeting room, Taunton Library, on Wednesday 14th August 2019 at 10.00am.

PRESENT

Cllr D Fothergill (in the Chair)

Cllr M Chilcott

Cllr D Hall

Cllr D Huxtable

Cllr C Lawrence

Cllr F Nicholson

Cllr F Purbrick

Cllr J Woodman

Junior Cabinet members:

Cllr G Frascini

Other Members present: Cllr H Davies, Cllr A Groskop, Cllr L Leyshon, Cllr T Lock, Cllr T Munt, Cllr B Revans

Apologies for absence: None

219 **Declarations of Interest** – agenda item 2

Members of the Cabinet declared the following personal interests in their capacity as a Member of a District, City/Town or Parish Council:

Cllr J Woodman – Sedgemoor District Council

220 **Minutes of the meeting of the Cabinet held on 8th June 2019** - agenda item 3

The Cabinet agreed the minutes and the Chair signed these as a correct record of the proceedings, subject to Cllr B Revans being added to the list of members present.

221 **Public Question Time (PQT)** – agenda item 4

The Leader of the Council, Cllr David Fothergill, noted that no public questions had been submitted.

222 **2019/20 Revenue Budget Monitoring – Quarter 1 (Month 3) Report** –

agenda item 5

The Cabinet Member for Resources, Cllr Mandy Chilcott introduced the report noting: the level of financial reserves held by the Council; increasing financial resilience; earmarked and general reserves levels; the £8.4m increase in earmarked reserves; the recently announced members preventative fund; the use of capital receipts to support Council transformation; and that 99% of MTFP savings had been delivered or were on-track to be delivered.

The Interim Director of Finance, Sheila Collins highlighted: the importance of maintaining the enhanced financial controls; and the decrease in debts over 90 days old.

The Cabinet proceeded to debate the report and invited comments from other members present. Points raised included: the Adult Social Care debt position and debts over 90 days old; the increase in general fund reserves; the earmarked business rates pilot; and Capital receipts and Learning Disabilities transformations costs.

The Director of Adult Social Care, Mel Lock and the Interim Director of Finance, Sheila Collins, responded to the points raised, noting: Adult Social Care deferred payments; that the business rates pilot was being led by the Growth Board; and that no further Capital Receipts were allocated to Learning Disabilities transformation.

The Leader of the Council, Cllr David Fothergill, highlighted the recommendations as detailed in the report.

Following consideration of the officer report and discussion the Cabinet:

- 1. Noted the forecast balanced budget position for the end of 2019/20**
- 2. Noted that £6.061m of the corporate contingency remains unallocated and this is expected to increase as management actions on service variances are confirmed**
- 3. Noted the delivery of £16.694m savings by Quarter 1 and the forecast delivery of £21.485m by the year end.**
- 4. Noted the improving financial resilience of the Council, with the level of reserves now being projected as £53.810m by the year end (comprising £35.443m of Earmarked Reserves and £18.367m of General Fund).**

ALTERNATIVE OPTIONS CONSIDERED: As set out in the officer report

REASON FOR DECISION: As set out in the officer report

223 **Local Government and Social Care Ombudsman Report Outcome -**
agenda item 6

The Cabinet Member for Adult Social Care, Cllr David Huxtable, introduced the report, noting how seriously the Council has taken the Ombudsman's comments. Cllr Huxtable further noted that the Council had apologised and paid compensation.

The Director of Adult Social Care, Mel Lock, added to the points raised by Cllr Huxtable noting: the Ombudsman's findings had been used to improve the Council's service model; that the Ombudsman had agreed that the individual in question was not eligible for services; that new documentation was now being used; and the provision of a new audit tool.

The Leader of the Council, Cllr David Fothergill sought confirmation that all points raised in the report had been addressed, with reassurance being provided the Director of Adult Social Care, Mel Lock.

The Cabinet noted the report, its findings and endorsed the actions taken by the Director of Adult Social Services as recommended by the Ombudsman.

224 **Award Contract for Care and Support Services to Shared Lives South West in Somerset –** agenda item 7

The Cabinet Member for Adult Social Care, Cllr David Huxtable, introduced the report noting: this was a positive outcome; and that Shared Lives South West is a regional organisation.

The Director of Adult Social Care, Mel Lock, added to the points raised by Cllr Huxtable, noting, Shared Lives South West is already working with Somerset County Council; how the service helps people to gain independence in a family setting; promoting independence; that some placements become long term; and that a direct contract award was being proposed due to the niche nature of market.

The Cabinet proceeded to debate the report and invited comments from other members present. Points raised included: the value of the case studies included with the report; potential to further expand the Shared Lives model; support for the service model; the importance of placements in service users' own communities; and the importance of including clear KPI's in the contractual agreement.

The Director of Adult Social Care, Mel Lock highlighted: the desire to grow the service by 10% over the next three years; and confirmed the inclusion of KPIs within the contract.

The Leader of the Council, Cllr David Fothergill requested all Cabinet Members confirmed they had read and understood the confidential papers. All Cabinet Members confirmed this was the case.

Following consideration of the Officer report, the confidential appendix and discussion, the Cabinet:

- 1. Agreed that Appendices 01-03 & 08 be treated as exempt information and be treated in confidence, as the case for the public interest in maintaining the exemption outweighs the public interest in disclosing that information.**
- 2. Approved the use of a waiver to Contract Standing Orders for the proposed contract award to Shared Lives South West**
- 3. Approved the award of a contract to Shared Lives South West, for a period of up to two years with an option to extend by a further year, to deliver care and support services across Somerset Shared Lives Schemes.**
- 4. Delegated authority to the Director of Adult Social Care and Director of Finance to negotiate and agree the commercial terms of the contract with Shared Lives South West, including as to the allocation of pension liabilities;**
- 5. Delegated authority to the Director of Adult Social Care, in consultation with the County Solicitor, to negotiate, conclude and enter into the contract with Shared Lives South West;**

ALTERNATIVE OPTIONS CONSIDERED: As set out in the officer report

REASON FOR DECISION: As set out in the officer report

225 **Any other business** – agenda item 8

The Leader of the Council, Cllr David Fothergill noted the Panorama Programme – The Cost of Care, which was shown on television in May. Cllr Fothergill highlighted that he and all other Somerset County Council political group leaders were supporting a petition to call for a debate in Parliament regarding Social Care funding.

(The meeting ended at 10.39am)

CHAIR

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Decision Report – Cabinet decision

– 25th September 2019

Somerset: Our County – Joint Strategic Needs Assessment 2019 Data Integration

Cabinet Member(s): Cllr Christine Lawrence – Chair Health and Wellbeing Board

Division and Local Member(s): All

Lead Officer: Trudi Grant, Director of Public Health

Author: Pip Tucker, Public Health Specialist

Contact Details: 01823 359449

	Seen by:	Name	Date
	County Solicitor	Honor Clarke	15 July 2019
	Monitoring Officer	Scott Wooldridge	15 July 2019
	Corporate Finance	Peter Lewis	15 July 2019
	Human Resources	Chris Squire	15 July 2019
	Property	Paula Hewitt / Claire Lovett	15 July 2019
	Procurement / ICT	Simon Clifford	15 July 2019
	Senior Manager	Trudi Grant	15 July 2019
	Commissioning Development Team	Vikki Hearn	15 July 2019
	Local Member(s)	All	15 July 2019
	Cabinet Member	Cllr Christine Lawrence	15 July 2019
	Opposition Spokesperson	Cllr Amanda Broom	15 July 2019
	Relevant Scrutiny Chairman	Cllr Hazel Prior-Sankey	8 th May 2019 (pre-meeting)
Forward Plan Reference:	FP/19/07/12		
Summary:	<p>This year, the Somerset JSNA looks at a pervasive ‘need’ within health, care and wellbeing, rather than the needs of a population group. It examines the need for better quality information particularly about individuals, and at data integration to support health and care professionals – and communities, too – in order to make better decisions.</p> <p>It describes how the integration of data is held back by decisions</p>		

	<p>being made at an organizational, rather than 'system', level. It suggests that the Information Governance Panel, recently set up by, and reporting to, the Health and Wellbeing Board, could play an important role in re-balancing how decisions are taken.</p>
<p>Recommendations:</p>	<p>That the Cabinet Member for Public Health and Well-Being approves:</p> <ol style="list-style-type: none"> 1. 'Somerset: Our County' Joint Strategic Needs Assessment 2019 - Data Integration. 2. The responsibility for managing and balancing the risks associated with data integration taken on by the Health and Wellbeing Board in setting up the Information Governance Panel.
<p>Reasons for Recommendations:</p>	<p>The JSNA shows how lack of data integration encourages working in silos and inefficiency in services, as well as perpetuating a focus on treatment rather than prevention of ill-health and social disadvantage. Integration of data is a necessary precondition for integrated, person-centred services.</p>
<p>Links to County Vision, Business Plan and Medium Term Financial Strategy:</p>	<p>In particular, the JSNA relates to the element of the County Council Vision of a 'county where all partners actively work together for the benefit of our residents, communities and business and the environment in which we all live'. It also promotes a 'focus on improving people's lives', a reduction in inequalities and providing the right information.</p> <p>It has a particular contribution to make to the Business Plan's commitment to 'focus on prevention and early intervention', and to the 'Key partnerships' of the MTFP.</p>
<p>Consultations and co-production undertaken:</p>	<p>The JSNA is produced through the JSNA Technical Working Group (TWG) whose membership includes representatives from the District Councils, the voluntary sector, health, public health and social care. Case studies relating to sharing information and data integration have been sourced through the Community Council for Somerset and additional information from the One Team representative in Public Health. The Business Intelligence Working Group members – from across the Somerset health and care sector - have provided much useful insight into current practice.</p>
<p>Financial</p>	

Implications:	There are no direct financial implications from the JSNA itself. Data integration project may have financial implications – which include savings from greater efficiency as well as cost – but are not considered here.				
Legal Implications:	The integration of data is subject particularly to the General Data Protection Regulations (2018). The JSNA discusses the implications of the GDPR which, in summary, normally allow the sharing of personal information in order to provide better services and to promote prevention. This legislation will be taken into account by the Information Governance Panel.				
HR Implications:	None.				
Risk Implications:	None associated with the report itself, although the risks associated with failure to share information between agencies, and the disclosure of personal information, as well as the management of risk, are discussed within.				
	Likelihood		Impact		Risk Score
Other Implications (including due regard implications):	<p><u>Equalities Implications</u></p> <p>Properly applied, data integration can give agencies a more detailed and nuanced picture of need, and help reduce inequality of all kinds. Thoughtlessly used, such information can risk entrenching inequality by encouraging the identification of problems where they have been found previously ('profiling'). The Information Governance Panel will need to act as an 'ethics committee' in this regard.</p> <p><u>Community Safety Implications</u></p> <p>The sharing of information should have a powerful, positive impact in relation to community safety, subject to the caveats described above.</p> <p><u>Sustainability Implications</u></p> <p>There are no direct implications for environmental sustainability, but, in principle, any decisions based on information on a wide range of sources are more likely to be sustainable than those taken on the basis of only one or two factors.</p>				

	<p><u>Health and Safety Implications</u></p> <p>None</p> <p><u>Privacy Implications</u></p> <p>Any projects related to the subject matter of the JSNA will require Privacy Impact Assessments, as are already in place for the current Information Sharing Protocols in the county. There are no direct privacy implications of the JSNA itself.</p> <p><u>Health and Wellbeing Implications</u></p> <p>Information sharing should enable actions that have a positive impact on health and wellbeing, in particular through identifying the causes of ill-health and preventing them. It should also help reduce health and social inequalities, subject to the caveats of 'profiling' described above.</p> <p>In principle the sharing of information should be at a population level; in practice health and social needs of older people, and the social, emotional and health needs of younger people may mean that information sharing is more actively pursued for these than for working age people.</p> <p>The 'person-centred' approach promoted by data integration should support all priorities identified in <i>Improving Lives</i>, especially 'Priority 4: Improved health and wellbeing and more people living healthy and independent lives for longer'.</p>
<p>Scrutiny comments / recommendation (if any):</p>	<p>Not applicable.</p>

1. Background

- 1.1. Integrating data is an obvious 'good thing' in principle, and hugely complicated in practice. There is no single, simple solution. Excellent examples exist already in Somerset – examples such as SIDeR and the innovative use of data in Brave AI. However, many problems remain, with children's data often held in silos, and even more distant from adult data. While the integration of health and adult social care is progressing well, the same cannot be said for the wider determinants of health and the voluntary sector, which will be vital to future health strategy.
- 1.2. In particular, the JSNA for 2019 is concerned with how to join data from

different organizations to understand the complexity of individuals' needs, whilst adhering to the safeguards legally established in information governance. In legislation, the General Data Protection Regulations (GDPR) of 2018 make a legal case for the appropriate sharing of information where it can assist public bodies to undertake their statutory duties.

- 1.3.** This JSNA coincides with the development of a Somerset-wide Business Intelligence (BI) strategy, which addresses similar issues, focusing on specific improvements to be made in understanding individual health need.
- 1.4.** Evidence that is used to support local decision making takes many forms. Some is qualitative, such as patient or customer satisfaction. Much quantitative information held within organizations is on available resources, such as finance or staffing, and as such is very much 'owned' by the organizations concerned. All such data contribute to the efficient provision of services.
- 1.5.** It is shown in the JSNA that whilst technically difficult, with appropriate integration software (and the necessary time, money and effort) different administrative systems can be integrated to produce, for instance, shared dashboards. The legal barriers are more complicated, but as a basic principle if joining datasets produces real public benefits then it can be achieved (and effort should not be wasted on data integration that does not produce such benefits).

2. Options considered and reasons for rejecting them

- 2.1.** Production of a JSNA is a statutory requirement for Health and Wellbeing Boards. The choice of subject was agreed at the Board's meeting in September 2018, with other potential subjects, such as health protection and volunteering deferred for consideration in subsequent JSNAs.

3. Background Papers

- 3.1.** The JSNA Annual Summary on Data Integration is published at <http://www.somersetintelligence.org.uk/jsna/>, as are case studies from village agents related to the subject. The document includes a link to the Business Intelligence Strategy executive summary.

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Somerset County Council

Cabinet

25th September 2019

Corporate Performance Report - End of July (Q1+1 2019/20)

Cabinet Member(s): Cllr David Fothergill, Leader of the Council

Division and Local Member(s): All

Lead Officer: Simon Clifford, Director – Corporate Affairs

Report Author: James Hadley, Corporate Planning and Performance Manager

Contact Details: (01823) 359725 JHadley@somerset.gov.uk

Report Sign Off:	Seen by:	Name	Date
	Monitoring Officer	Scott Wooldridge	16/09/2019
	Corporate Finance	Sheila Collins	16/09/2019
	Human Resources	Chris Squire	16/09/2019
	Adults Services	Mel Lock	16/09/2019
	Childrens Services	Julian Wooster	16/09/2019
	ECI Services	Paula Hewitt	16/09/2019
	Public Health	Trudi Grant	16/09/2019
	Corporate Affairs	Simon Clifford	16/09/2019
	Local Member(s)	N/A	
Cabinet Member	Cllr David Fothergill	16/09/2019	
Informed:	Opposition Spokesperson(s)	Cllr Jane Lock Cllr Liz Leyshon	17/09/2019
	Relevant Scrutiny Chair(s)	Cllr Anna Groskop Cllr Hazel Prior-Sankey Cllr Leigh Redman	17/09/2019
Forward Plan Reference:	Forward Plan reference: FP/19/05/09 Notice of proposed decision first published 28 th May 2019		
Summary:	<p>This report provides members with the high-level information they need to lead and manage the performance of the outcomes set out in the Council’s Vision and reflects the Council’s ongoing progress towards the outcomes laid out in the Council’s Business Plan. The measures used to support this report come from across the Council’s services and are a subset of the measures monitored regularly by the Senior Leadership Team.</p> <p>This report consists of 38 performance indicators, 22 of which are continued from the previous year, and 16 of which are new indicators.</p> <p>The new items are:</p> <ul style="list-style-type: none"> • Develop Medium Term Financial Plan 2020-2023 • Highways Maintenance • County Hall A Block accommodation project • Climate Change Strategy • Volunteering Enquiries • Adoption of neighbourhood approach to improve lives • Missing and Vulnerable to Exploitation (MAVE) approach 		

	<ul style="list-style-type: none"> • Flu action plan progress • Embed an effective multi-agency Early Help offer in Somerset • Improved Multi Agency Children’s Safeguarding • Improve the outcomes for children looked after • Emotional Health and Wellbeing of Children • Improve Outcomes for Vulnerable Learners • Ensure Effective Workforce Capacity is in place across Children’s Services • Embedding a learning culture in Children’s Social Care • Driving more effective customer interactions through the use of digital technologies. <p>The range of indicators are approved by the Senior Leadership Team to best represent the key activities and measures used to check our performance for the year against the priorities we are working towards.</p> <p>This report provides the latest information available in the period up until 31st July 2019. Discussions regarding performance issues should take account of any additional information that may be available following production of this report.</p> <p>This report does not seek to replace the existing financial or risk reporting for Cabinet, and so should be viewed in context alongside corporate finance and risk reports to give a greater level of understanding.</p>
<p>Recommendations:</p>	<p>Cabinet is asked to:</p> <ol style="list-style-type: none"> 1. Consider and comment on the information contained within this report. 2. Where performance issues are highlighted, Cabinet should consider whether the proposed management actions already in place are adequate to improve performance to the desired level. If the Cabinet are of the view that the actions are not adequate, then Cabinet should indicate what further actions are required to ensure performance is improved. 3. Subject to any amendments agreed under the above points, to agree this report and any appendices as the latest position for Somerset County Council against its Council Vision.
<p>Reasons for Recommendations:</p>	<p>To ensure effective monitoring and management of the performance of the Council towards the outcomes laid out in the Council’s Business Plan.</p>
<p>Links to Priorities and Impact on Service Plans:</p>	<p>This report links to all aspects of the County Vision and forms a vital part of the performance management framework in place across the Council. The performance outlined in this report should be an indication of service plan delivery. The</p>

	strategic objectives of the service plans point towards the outcomes set by the Business Plan.						
Consultations undertaken:	Key messages have been approved by Directors, Lead Commissioners and Cabinet Lead Members.						
Financial Implications:	If performance is not at the expected or desired level, then management actions undertaken to improve performance to the desired level may result in financial implications for the Council. Conversely, performance above or below the desired level may imply that the Council is not securing best value for money from its resources.						
Legal Implications:	It is important when reviewing performance to ensure that minimum statutory requirements are being met at all times and that the Council operates within the law and standards of conduct expected of a public authority.						
HR Implications:	Actions agreed to address performance issues may involve the reallocating of resources and staff. As such there would be direct implications for staff that play a role in the delivery of services in those areas affected.						
Risk Implications:	The performance highlighted in this report can impact on one or more of the Council risks as detailed in the Council's Risk Report.						
	<table border="1"> <tr> <td>Likelihood</td> <td>N/A</td> <td>Impact</td> <td>N/A</td> <td>Risk Score</td> <td>N/A</td> </tr> </table>	Likelihood	N/A	Impact	N/A	Risk Score	N/A
Likelihood	N/A	Impact	N/A	Risk Score	N/A		
Other Implications (including due regard implications):	If addressing performance issues require changes in the way services are delivered, these must be supported by an appropriate impact assessment which will need to be duly considered by decision makers in line with our statutory responsibilities before any changes are implemented.						
Scrutiny comments / recommendation (if any):	Not applicable						

1. Summary

1.1 Further background to this report is set out in appendix A

2. Areas for consideration

2.1 The latest performance information is set out in appendix A, however to aid Cabinet's discussion the following areas of success and concern this quarter have been highlighted by the Senior Leadership Team.

Please note that due to reporting timelines, 4 of the new measures do not yet have sufficient information to provide a judgment on performance. These have been included to show the measures that are intended to be reported on throughout the year.

2.2 Areas of success in this period

- 2.2.1 Revenue Budget - Projected Outturn Position for 2019/20** – The Councils current projected outturn position is balanced at the end of Quarter 1. - (Pg. 7)
- 2.2.2 Develop Medium Term Financial Plan 2020 – 2023** – Budget holders are currently undertaking an exercise to provide their ‘confidence levels’ of delivery against their financial envelope for the next 4 years – this represents a real move towards future planning. - (Pg. 7)
- 2.2.3 Completion of Colley Lane in Bridgwater** - Following success in the completion of the Yeovil Western Corridor on time and on budget, the Colley Lane project in Bridgwater is also on time and within budget (page 11)
- 2.2.4 New Enterprise Centres** - Planning permission has been secured for phase one of Wells and Wiveliscombe enterprise centres. – A further update will be presented separately (page 12)
- 2.2.5 Somerset Energy Innovation Centres** - The construction programme for SEIC 3 has been agreed with Wilmott Dixon and works will commence in September 2019 (page 13)
- 2.2.6 Climate Change Strategy** – After the Climate Emergency was declared in Somerset, County and District councils have agreed to develop a county-wide strategy, work on this has begun including engagement with Exeter University. - (Pg. 15)
- 2.2.7 Improved Multi Agency Children's Safeguarding** – Somerset County Council, Somerset Clinical Commissioning Group and Avon & Somerset Constabulary developed and published new partnership arrangements for safeguarding children, effective as of 29 September 2019. And, the Children's Trust Board merged with the Safeguarding Children Board to form a Somerset Safeguarding Children Partnership which will build on the existing strengths within the relationships between partner agencies. (Page 19)
- 2.2.8 Percentage of users using self-directed support (given a personal budget or in receipt of a direct payment)** – This measure has seen consistent improvement for 8 months, from 81.73% in December to 94.41% in July. - (Pg. 26)
- 2.2.9 Proportion of total Adult contacts handled by Somerset Direct resolved with Information & Advice/Signposting by either Contact Centre or Locality Triage Teams)** – The proportion of contacts resolved at first point of contact via Somerset Direct reached 67.9% in July 2019 - the highest it has ever been. The combined resolution rate is up to 81.4%. - (Pg. 31)

2.3 Areas of concern in this period

2.3.1 Connecting Devon and Somerset Phase 2 (part 2) –

The Connecting Devon and Somerset (CDS) programme continues with its work helping rural communities' access superfast broadband across the region.

CDS has announced the decision to end Gigaclear's five contracts to deliver broadband in Devon and Somerset. CDS and the Government's Building Digital UK (BDUK) have been unable to agree an acceptable recovery plan with Gigaclear. CDS intends to launch a fresh procurement on the open market this autumn commencing a tender process to identify new providers of broadband services.

CDS is working closely with BDUK on a new procurement process and welcomes the Department for digital Culture Media and Sport's expressed commitment to ensuring that residents will benefit from world class broadband infrastructure in line with the ambitions for nationwide full fibre coverage

Discussions with funders continue and in recognition of representations made by the Department for Culture Media and Sport (DCMS) and CDS, there has been positive response from HM Treasury which is finalising an agreement for a funding extension with DCMS as part of the Spending Review process. – (Pg. 9)

2.3.2 Delayed Transfers Of Care (DToC) –

DToC performance has slipped below the target of 2.5% over recent months (2.53% in June and 2.96% in July). These were primarily within Somerset Partnership (Community Hospital)

– (Pg. 27 and 28)

3. Background papers are available on request from report author.

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END OF JULY (Q1+1 19/20) CORPORATE PERFORMANCE CABINET REPORT



SOMERSET
County Council



Our Vision *'Improving Lives'*



The County Council Vision

Our Vision is all about improving lives by creating:

- A thriving and productive County that is ambitious, confident and focussed on improving people's lives.
- A County of resilient, well-connected and compassionate communities working to reduce inequalities.
- A County where all partners actively work together for the benefit of our residents, communities and businesses and the environment in which we all live.
- A County that provides you with right information, advice and guidance to help you help yourself and targets support to those who need it most.

Business Plan

Our Business Plan explains how we will work towards this Vision over the next three years.

The Business Plan contains four strategic outcomes that show what the Council will focus on to deliver its Vision and improve lives. Beneath each strategic outcome sits four key priorities and a range of activities. By lining up these activities, priorities and strategic outcomes with the Vision we can plan ahead, monitor progress and above all ensure that we are working within our financial means.

To view our interactive online business plan please go to www.somerset.digital/businessplan

Meeting the Council's challenges: sustainability, quality and focus

Underpinning the four strategic outcomes is the fact that the Council must be confident and capable if it is to deliver the Vision and Business Plan. These organisational priorities fall into three broad categories and a set of activities that will equip it to deal with the challenges, and grasp the opportunities, ahead. The Council will use them to develop and deliver its service plans and thereby underpin the outcomes in the business plan.

***Our Vision sets out what we want for Somerset.
Our Business Plan explains how we will achieve this.***

Our Vision 'Improving Lives'



Outcome 1

A county infrastructure that drives productivity, supports economic prosperity and sustainable public services

Key Priorities

- Ensure that the Council is financially sustainable
- Enable economic and housing growth
- Create the climate for enterprise and innovation that businesses need to grow and collaborate.
- Deliver the Heart of the South West Productivity Strategy and influence the local Industrial Strategy for the benefit of Somerset.



Outcome 2

Safe, vibrant and well-balanced communities able to enjoy and benefit from the natural environment

Key Priorities

- Support development of stronger communities including working with them to increase their resilience and create the right conditions for them to thrive and help each other.
- Work with partners and communities to protect and enhance the environment, manage our water better and produce less waste.
- Make sure that Somerset remains a safe place to live, work and visit.
- Support and promote enjoyment of Somerset's heritage, culture and natural environment



Outcome 3

Fairer life chances and opportunity for all

Key Priorities

- Tackle inequalities and poor social mobility across Somerset so everyone can realise their potential and give more to our economy and society.
- Maintain the Council's focus and commitment to make Somerset a place where all children are healthy, safe, and have good physical and emotional wellbeing.
- Ensure all children in Somerset have access to high quality schools, high quality educational, professional and support services, teachers and leadership teams.
- Equip Somerset's workforce of the future with the skills they need, and enable them to aspire and achieve in Somerset's economy.



Outcome 4

Improved health and wellbeing and more people living healthy and independent lives for longer

Key Priorities

- Explore, define and implement robust health and social care integration
- Focus efforts on prevention and improving health and wellbeing outcomes, especially for those in greatest need.
- Foster an environment which promotes healthier choices and support people to take responsibility for their own health and wellbeing.
- Support people to remain independent and within their homes and communities, without formal social care support wherever possible and for as long as possible.

Meeting the Council's challenges: sustainability, quality and focus

Purpose of the Report

This report reflects the Council's ongoing progress towards the priorities laid out in the Business Plan. The measures used to support this report come from across the Council and are a subset of the measures monitored monthly by the Senior Leadership Team.

This report sets out the key activities and measures used to check our performance for the year against the priorities we are working towards.

Further detail is given in relation to a set of business performance indicators covering finances, customer focus, and our workforce.

This report does not contain details of the numerous other activities ongoing in each service area that also contribute to delivering what is important and will make a difference to all in Somerset.




Further information about how the Council monitors and reports on performance can be found on the Council website (www.somerset.gov.uk)

For any other information please contact the Planning and Performance Team at Performance@somerset.gov.uk

Key to KPI ratings used

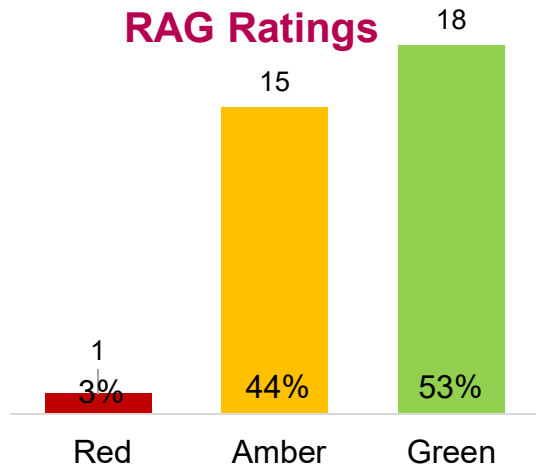
This report includes Key Performance Indicators (KPIs), where progress is assessed against targets and project updates.

Performance is shown using Performance Ratings, progress is shown in terms of Direction of Performance (DOP) through the use of arrows.

Performance Ratings	
G	Performance is on or exceeding target. Project is on target.
A	Performance is off target but within tolerance. Project requires attention.
R	Performance is off target and outside tolerance. Project is off target.
B	Metric discontinued. Project is closed.
Direction of Performance	
	Performance is improving. Project has achieved a milestone.
	Performance is steady. Project is progressing.
	Performance is declining. Project has missed or at risk of missing milestones.

High level Summary


	RAG Rating			Direction of Performance*		
	G	A	R	↑	→	↓
A county infrastructure that drives productivity, supports economic prosperity and sustainable public services	8	5	1	2	2	0
Safe, vibrant and well-balanced communities able to enjoy and benefit from the natural environment	5	3	0	1	1	0
Fairer life chances and opportunity for all	0	4	0	3	0	1
Improved health and wellbeing and more people living healthy and independent lives for longer	5	3	0	3	0	5
TOTAL	18	15	1	9	3	6



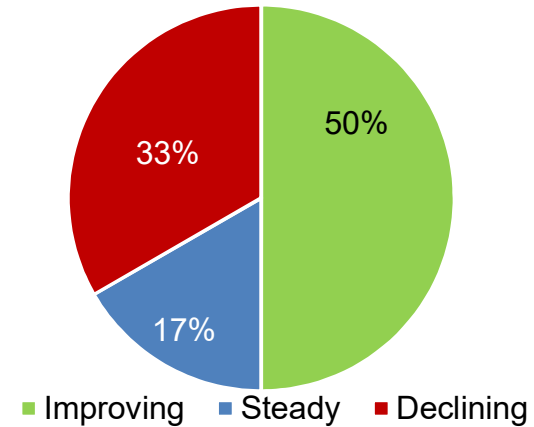
53%
of measures
rated as on or
exceeding target



50%
of measures rated
as improving



Direction of Performance



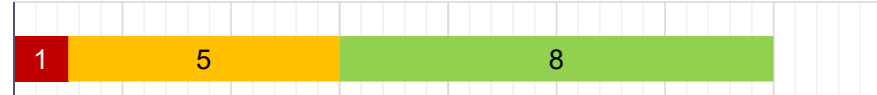
*Not all measures carry a direction of performance therefore total numbers will not match total number of RAG ratings

Summary by Vision Outcomes

■ Red ■ Amber ■ Green

0 2 4 6 8 10 12 14 16

Outcome 1 - A county infrastructure that drives productivity, supports economic prosperity and sustainable public services



Outcome 2 - Safe, vibrant and well-balanced communities able to enjoy and benefit from the natural environment



Outcome 3 - Fairer life chances and opportunity for all



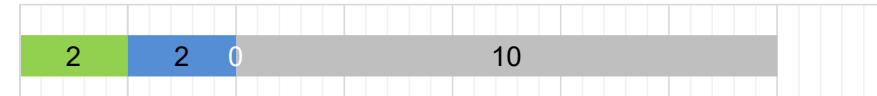
Outcome 4 - Improved health and wellbeing and more people living healthy and independent lives for longer



■ Improving ■ Steady ■ Declining ■ No direction captured*

0 2 4 6 8 10 12 14 16

Outcome 1 - A county infrastructure that drives productivity, supports economic prosperity and sustainable public services



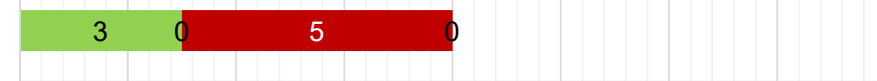
Outcome 2 - Safe, vibrant and well-balanced communities able to enjoy and benefit from the natural environment



Outcome 3 - Fairer life chances and opportunity for all



Outcome 4 - Improved health and wellbeing and more people living healthy and independent lives for longer



*Not all measures carry a direction of performance therefore total numbers will not match total number of RAG ratings

Revenue Budget - Projected Outturn Position for 2019/20

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Q3 17/18	Q4 17/18	Jul-18	Aug-18	Q2 18/19	Q3 18/19	Q4 18/19	Q1 19/20
£7.741m	£2.18m	£11.4m	£3.320m	£3.158m	£-1.067m	£-5.909m	0
Overspend	Overspend	Overspend	Overspend	Overspend	Underspend	Underspend	On Budget
R	↑	R	↑	R	↑	G	↑

The Councils final revenue budget outturn position in 18/19 was an underspend of £5.909m against a net budget of £317.882m (-1.86%).

This was a substantial improvement from the adverse financial forecasts anticipated earlier in the year – a forecast adverse variance of £12.115m in month 2 and that reflects the very significant focus that was placed on managing the Councils financial performance throughout 2018/19.

This tighter financial grip included a Cabinet meeting on 12th September 2018 where additional in-year savings of £12.790m were approved, together with the set-up of more robust processes for ensuring the effective management of expenditure and for ensuring delivery of agreed savings (delivering 85% of £26.208m planned savings by the end of the financial year). Also, during 2018/19 the Council has taken in-year opportunities to improve the Councils depleted reserves to secure the long-term financial sustainability of the Council ending 2018/19 with £16.366m General Fund reserve balance compared with a balance at the beginning of the financial year of £12.188m.

Significantly strengthened financial reporting during 2018/19, in terms of frequency, quality and timeliness to Cabinet, Audit Committee and Scrutiny meetings have all ensured an improved transparent approach to reporting the Councils financial position to members and the public. The significant improvements made during 2018/19 will continue throughout 2019/20 to ensure on-going transparency in financial reporting.

At the end of Quarter the council is projected to be on budget with no under or over spending and contingency of £6m remains.

Develop Medium Term Financial Plan 2020 - 2023

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The MTFP approved in February 2019 has been reviewed and changes / updates identified. Work has taken place on developing Scenarios focusing on significant areas within the budget of Demand for Adults & Children's, Funding through Business Rates, Council Tax and Grant and the Staffing Establishment. Pressures and Unachievable Savings have been identified for all services and have been subject to 'challenge' sessions. Budget holders are currently undertaking an exercise to provide their 'confidence levels' of delivery against their financial envelop for the next 4 years. This exercise will identify areas of risk. The 2019 Spending Round has been announced and work is on-going in identifying its impact upon Somerset and the MTFP.

People Strategy

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Implementation of the People Strategy is proceeding alongside the Improving Lives Programme.

Progress is being made in following areas:

People Attributes

Innovation Champions

Succession Planning

Speaking Up

Leadership Development

Young People's Strategy

Wellbeing Initiatives

Library Service Redesign

G



The future of Somerset's Libraries Service was decided by Cabinet in November 2018:

- 19 existing library buildings are to continue to be funded and managed by SCC
 - For 13 of the remaining 15 library buildings, expressions of interest were received to take part in Community Library Partnerships.
 - 12 libraries have been transferred to community organisations
- April -June. 9 of these continue to be staffed by Library Service Staff and 3 by volunteers with IT and stock continuing to be contributed by SCC.
- 1 further library is planned to transfer to Community Library Partnership by 1 September 2019 (or failing agreement are to be replaced with mobile library service.)
- The workforce redevelopment has completed with appointment to remaining vacant posts in June 2019.

**Project Update:**

- All 6 lots were awarded with 5 of the 6 lots being awarded to Gigaclear (GC) and Lot 4 awarded to Airband.
- Although project mobilisation commenced GC mobilisation was delayed and the collapse of Carillion impacted the mobilisation.
- GC continued to work with Telent (a Carillion company) and provided a mitigation plan including the appointment of a second T1 contractor MacNicholas.
- GC was informed that the change request delivery timeline must be met to comply with funding requirements. A remedial plan was provided in March 2018 which had impact on the delivery. These proposals suggested that slippage would be corrected by June 2020. •Gigaclear has not achieved the milestones in the revised March 2018 plans. GC has confirmed that it will not be able to make the target dates.
- Grant funders have been updated on the changes which may be required to the funding profile.
- CDS has placed GC on notice of default while requiring it to work up an updated plan for delivering on its obligations that is satisfactory to both CDS and Government.
- Discussions have commenced with grant funding partners who have indicated a willingness to extend funding timelines, but this is subject to assurance and treasury approval.
- Airband has commenced build and is proceeding largely on track given the later start of its contract.

Milestones and Next steps:

- CDS has placed GC on notice of default while requiring it to work up an updated plan for delivering on its obligations that is satisfactory to both CDS and Government.
- Discussions with funders continue. Once these conversations have taken place agreement can be reached on the way forward.
- GC has delivered a proposal at end of July. CDS and the Government's Building Digital UK (BDUK) agency has been analysing the proposals in detail to see whether they can form an acceptable and credible recovery plan. The conclusions of this process are expected to be reported shortly.

**Project Update**

The business case was submitted by the deadline of March 22nd incorporating, as appropriate, constructive feedback received from Homes England during the co-development stage. This is now being formally considered by Homes England prior to period of clarification and further evidence requests due to start on June 17th 2019. Homes England have provided £100k towards the business case development costs. Scheme design and costing work is almost complete. Economic assessment is also almost complete to calculate the value for money and transport benefits of the proposals. The content of the HIF Bid was adjusted to take account of elements that were included in the TDBC Marginal Viability bids which avoided elements of double counting the number of homes unlocked by the infrastructure investment. It was also agreed to remove the walking/cycling packages as these were not considered by Homes England as essential to unlocking housing development.

The final amount requested was £95.2m for a range of highways, schools, flood prevention and public transport projects across the Hinkley Housing Zone. Analysis of the benefit and disbenefits of the proposed schemes and the associated housing growth showed a BCR of approx 3.3 for the preferred option. It will also deliver an estimated £549million of economic benefits which includes land value uplift benefits, additional affordable housing, improved flood defence infrastructure, a range of transport improvements and increased tax revenues. The clarification process will start in June 2019 and is expected to take around 12 weeks. We are still working on an expectation of an announcement of funding in Autumn 2019. Work has commenced on developing a communications leaflet to help publicise the bid objectives and gain stakeholder support and engagement as well as preparations for the clarification process.

Milestones

Business Case submitted - 22nd March 2019

Site visit July 10th with support from Environment Agency and Highways England

Next Steps

Clarification questions continue to be received (4 rounds and 156 questions so far) with the next deadline due on August 16th. We have also received a request for transport assessment supporting data and are expecting further clarifications for this area. We were informed during the visit that decisions can be expected before December, although October is still the working assumption.

Completion of Junction 25 of the M5

G



The project is due for completion in Summer 2021. The scheme is on programme and within budget, having made good progress with works on the main gyratory and the offline elements for the new link road. The works on Toneway are also progressing well and will move to the next phase shortly.

Completion of Colley Lane in Bridgwater

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The overall project status is green. The project completion will be revised until 11th Oct 2019, but this is being managed along with other risks by the project team. The project board are aware of the issues. The project is within budget and there are no significant quality issues.

Develop Toneway

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The project is currently on programme however the RAG status reflects the tight programme, limited contingencies and resources available to undertake the pre-procurement and procurement activities given the number of major schemes that are ongoing and the very tight programme.

The programme has been revised with construction now planned to commence in April 2020.

The funding contribution to the scheme from the Heart of the South West Local Enterprise Partnership (£6.41m) was granted programme entry in October 2018. The project is in the detailed design stage, this is expected to be completed in Sept 2019 with procurement commencing in autumn 2019 and construction commencing in April 2020.

Highways Maintenance

G



Network condition is currently rated at Band 3, the highest rating possible. Work to be completed in strengthening asset management approach.



Project/Programme Update (latest position):

Work is ongoing to progress SCC's programme of new enterprise centres, combining work to secure external funding for schemes in the programme alongside SCC's capital investment and programme management of the delivery of these schemes. Highbridge enterprise centre phase 2 was completed in 2018 and is now available for occupancy with initial tenants secured. Funding packages are in place for phase one of new enterprise centres at Wells and Wiveliscombe but, due to costs pressures identified at the construction tender phase, the designs of both schemes have been amended and revised planning permission has been secured in both cases. Letter confirming grant funding awarded for Phase 1 to build the Office element of the Enterprise Centre - grant funding agreement to be completed on meeting conditions and planning permission to be obtained

Milestones:

- Confirmation that revised funding timescales are acceptable for funding bodies.
- Completion of second procurement process

Next steps:

- Successful completion of procurement process for Wells and Wiveliscombe.
- Submission of full business case for ERDF funding for Bruton to MHCLG.



Project/Programme Update (latest position):

Construction of SEIC 2 is complete and the building has been handed over to SCC.

The full business case for Growth Deal funding for SEIC 3 has been endorsed by the Local Enterprise Partnership (LEP) and, subject to resolution of pre-contract conditions, will now be confirmed in a funding agreement. Construction works will commence on site for SEIC 3 in September 2019 and are scheduled for completion in Summer 2020.

Work is ongoing to progress the procurement of an operator to manage SEIC 2 and 3 and to provide support to businesses. Arrangements have been put in place to manage any interest in SEIC 2 space from potential tenants in advance of the operator being appointed.

Milestones:

- Construction of SEIC 2 Building and handover to SCC – Completed
- LEP endorsement of full business case for Growth Deal monies for SEIC 3 – Completed
- Construction programme agreed with Willmott Dixon for SEIC 3 – Completed

Next Steps:

- Commencement of construction works for SEIC 3 – September 2019
- Commencement of procurement process to appoint an operator for SEIC 2 and 3 – Estimated October 2019

iAero Centre

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Project/Programme Update (latest position):

The project comprises development of a c. 2,400 m2 new-build innovation centre in Yeovil to support the competitiveness and growth of the aerospace and associated high value design and engineering technology supply chains. Funding is now in place from the European Regional Development Fund (ERDF), the Local Enterprise Partnership's (LEP's) Growth Deal and SCC for the build of the Centre and construction work commenced on site in July 2019. Pre-procurement work has commenced to inform SCC's commissioning of an operator to manage the Centre and to provide support to businesses.

Milestones:

- £3,832,785 of Growth Deal funding and £3,049,240 of ERDF awarded to the iAero (Yeovil) Centre Project – Spring 2019
- Construction works commenced on site – July 2019
- Photo call and press release issued to mark the start of construction works – August 2019

Next steps:

- Procurement process to appoint an operator for the iAero (Yeovil) Centre – Estimated completion Summer 2020
- Centre build due for completion – Summer 2020
- Centre opens to businesses – Estimated late 2020

County Hall A Block accommodation project

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Refurbishment element on track. Building handover due Spring 2020.

Deputy Leader has requested that research on options and costs be carried out to enable committee meetings to be streamed. IT investigating.

Scrutiny task and finish meeting in September to feed in.

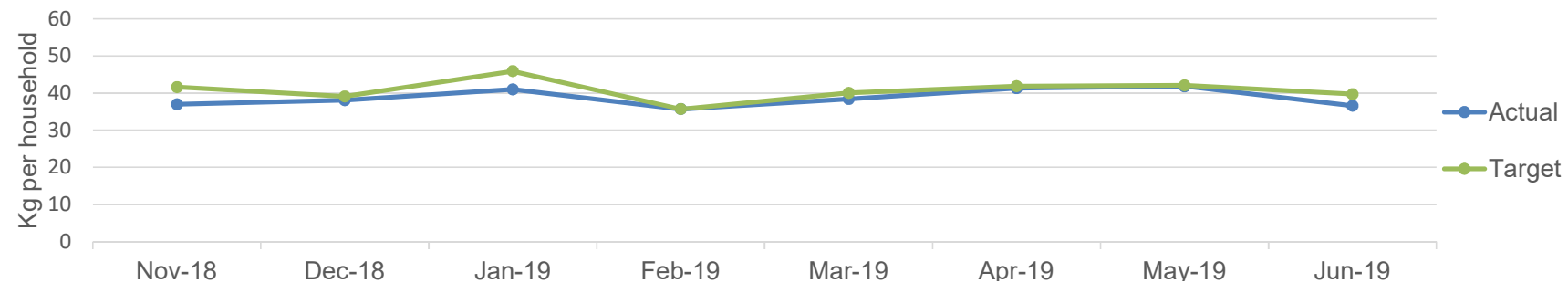
Furniture procurement activities ongoing including variety of discussions with teams who will be occupying building re layouts and quantities

Residual household waste (kg per household) sent to landfill each year

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Reported Monthly these are actual figures, all figures are standalone monthly not cumulative as in previous years.



Climate Change Strategy

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Project/Programme Update (latest position):

- Climate Emergency declared by all Somerset Local Authorities
- Agreement to develop a county-wide strategy jointly between SCC and Somerset District Councils
- Joint Task and Finish group to be constituted
- Governance arrangements have been agreed by Chief Execs and Leaders of all 5 authorities
- Strategic group comprised of senior officers from all 5 authorities has been convened to develop the strategy
- Specific workstreams have been agreed and ToR developed
- Invites for T&F Group initiation have been sent (4th September)
- Memorandum of Understanding under discussion with Exeter University to provide a framework for future collaboration
- Work on Scope of strategy has commenced

Milestones:

- T&F group to meet to review initial strategy scope by Autumn 2019 - date set for 4th September 2019

Next Steps:

- Work with Exeter University to refine scope of the strategy
- Agree membership and scope of workstreams
- develop scope framework (end of August)
- T&F Group to meet for initiation session (4 September)
- Convene workstream leads and engage stakeholders

Volunteering Enquiries



Data for the item is not yet available, this indicator will show the number of volunteering enquires to Somerset County Council with a target of 25 per month. This will be reported on in Quarter 2

Adoption of neighbourhood approach to improve lives

A

Work around the wider Neighbourhoods model including strengthening communities has oversight from the Somerset Health and Wellbeing Board. The structure to deliver this mandate will be established at the next Neighbourhoods group. Neighbourhood teams continue to develop and all PCNs have been set up. Rated amber due to limited progress over the Summer.

Missing And Vulnerable to Exploitation (MAVE) approach

G



Set up in response to increasing concerns over the links between missing episodes, children and young adults vulnerable to exploitation and serious violence, MAVE panels are now being rolled out across the County after a successful pilot in Mendip. In addition to the timeline for roll out being on track, SCC has also been successful in obtaining additional funding for the Sedgemoor MAVE through the Serious and Organised Crime Community Coordinator, and funding for the other 3 MAVEs via the Violence reduction funds, equating to £5,000 each to utilise on supporting individuals and families when needed most.

Flu action plan progress

G



A multifaceted flu action plan has been put into place. We are focussing on three key priorities, at risk under 65s, care homes and staff vaccination. We have a strong communication plan. We are also emphasising cross organisational co-operation and a system wide approach to common goals. We are anticipating vaccine to be distributed through the healthcare system in early October. We are coming off the back of a particularly early flu season in the southern hemisphere which makes it imperative that we encourage early vaccination.

Embed an effective multiagency Early Help offer in Somerset

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Current focus on developing multi agency leaders for early help, has been promoted through a successful early help event led by the independent early help board chair. This resulted in:

- Demonstrable evidence of the considerable Early Help leadership and workforce independent of the council
- The ability and potential of early help partnerships to co-ordinate and collaborate to deliver a strong and diverse early help offer
- Regular planned future events being planned to promote and support local leaders to deliver strong early help partnerships

During the next 3 months activity will be focussed on:

- understanding how to measure the effectiveness of early help across this diverse early help offer.
- Implementing the planned early help interventions Pause and Safe Families
- providing financial and training support to encourage and develop evidence-based parenting offers across the county.

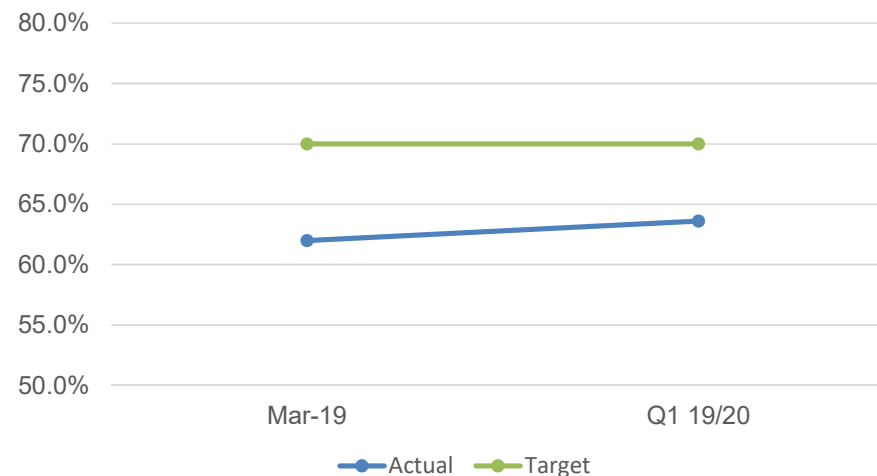
Improve the outcomes for children looked after

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- Interventions to improve placement stability for children looked after are increasing in their effectiveness resulting in fewer placement breakdowns.
- Use of Residential Care has stabilised, however a number of children in residential care are waiting for foster placements
- New named nurse is have a positive impact on the consistency of health assessments, and the need for more resourcing of the CLA health team by the Somerset Clinical Commissioning Group has been identified
- Interventions by the NHS to support children looked after needs more active leadership
- Corporate Parenting Board continues to provide effective leadership with a focus on improving the Council's Fostering Service

Placement Stability - % of Children Looked After continuously for at least 2.5 years who have been at their current placement for at least 2 years





The Local Authority has worked effectively with the two key safeguarding partners (Somerset Clinical Commissioning Group and Avon & Somerset Constabulary) to develop new partnership arrangements for safeguarding from 29 September 2019. The Children's Trust Board has merged with the Safeguarding Children Board to form a Somerset Safeguarding Children Partnership which will build on the existing strengths within the relationships between partner agencies.

The three key safeguarding partners continue to work on arrangements for local and regional scrutiny, including seeking agreement on the engagement of an Independent Scrutineer to provide high-level assurance of safeguarding arrangements. Overall, the partnership is acting to assure itself of the quality and effectiveness of first line management supervision and challenge.

The neglect toolkit continues to be embedded and this will be tested out further in the next quarter, in the light of the ongoing Serious Case Review for Family B (to be published autumn 2019) and findings from previous SCRs which have found there is more to do to act in a timely manner where neglect is identified and not to wait for 'trigger events' which bring long-term neglect into view. A key focus for the partnership is on the early identification and action on neglect, and on the protection of babies and very young children. Intra-familial sexual abuse is also emerging as a theme in learning reviews and needs renewed multi-agency focus.

Early help processes and protocols will be relaunched in the autumn 2019, and the Somerset Safeguarding Children Partnership will have a focus on ensuring that early help is provided at the right time for children and families, and that early help tools are used to effectively assess and act and not simply as referrals to statutory services, with a renewed focus on needs not thresholds.

Work has started on plans which address risks outside the family rather than traditional child protection plans, and this will require further development. Operation Topaz to disrupt and prevent exploitation is now supplemented by the new MAVE initiative to identify and work proactively with young people on the margins of exploitation

Health Visitor mandated contacts

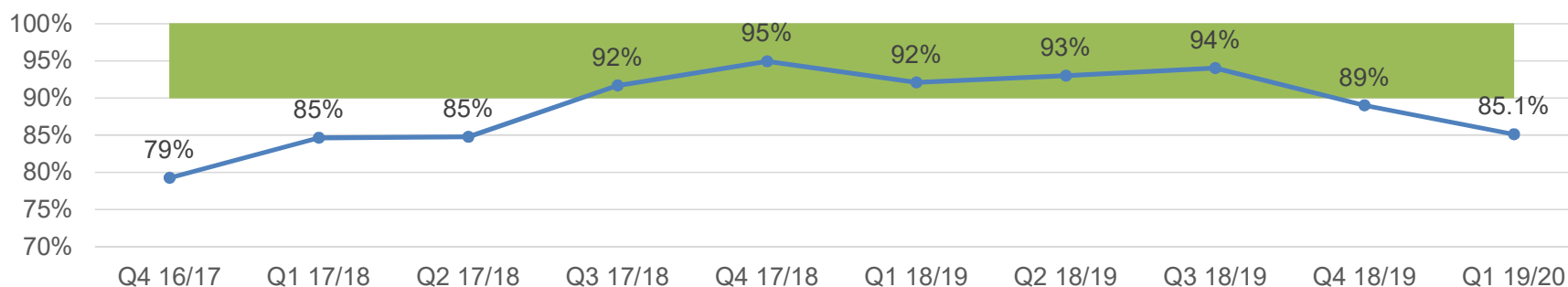
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Description	Proportion of all infants receiving a new born visit within 14 days						
Polarity	Higher is better	Target	>90%				
Q2 2017/18	Q3 2017/18	Q4 2017/18	Q1 2018/19	Q2 2018/19	Q3 2018/19	Q4 2018/19	Q1 2019/20
85%	92%	95%	92%	93%	94%	89.5%	85.1%
N/A →	G ↑	G ↑	G ↓	G ↑	G ↑	A ↓	A ↓

Commentary and actions to be taken

- Health Visitors lead the delivery of the Healthy Child Programme. They use strength-based approaches, building non-dependent relationships with families to support behaviour change, promote health and wellbeing, protect health and to keep children safe.
- This is the only workforce that has the opportunity of engaging with all families, often in their own homes; this is essential for early identification of needs and implementation of evidence-based interventions to resolve needs before they become worse and require statutory or specialist intervention.
- Health visitors have a key role in identifying additional health needs and risks e.g. maternal mental health difficulties, developmental concerns, domestic abuse and difficulties in transitioning to parenthood. As part of universal health visiting services, all families are offered five key visits: Antenatal, New born visit, 6 – 8 weeks, 9 – 12 months, 2 – 2 ½ years. With additional support where needs are identified.
- In Somerset all families are offered a new birth visit following notification of a birth from maternity services. We engage with families to promote the uptake of the new birth visit within 14 days of their baby’s arrival and work is underway with maternity services to ensure antenatal care is more joined up and communication is improved between midwifery and health visiting services.
- There was a delay in maternity services informing the health visiting service of new births during Q1. This resulted in the number of children receiving the review within 14 days falling however the actual proportion receiving a review within the first month is 98%. This communication issue has been resolved and so performance will improve by Q3.



Development at 2-2.5 year check

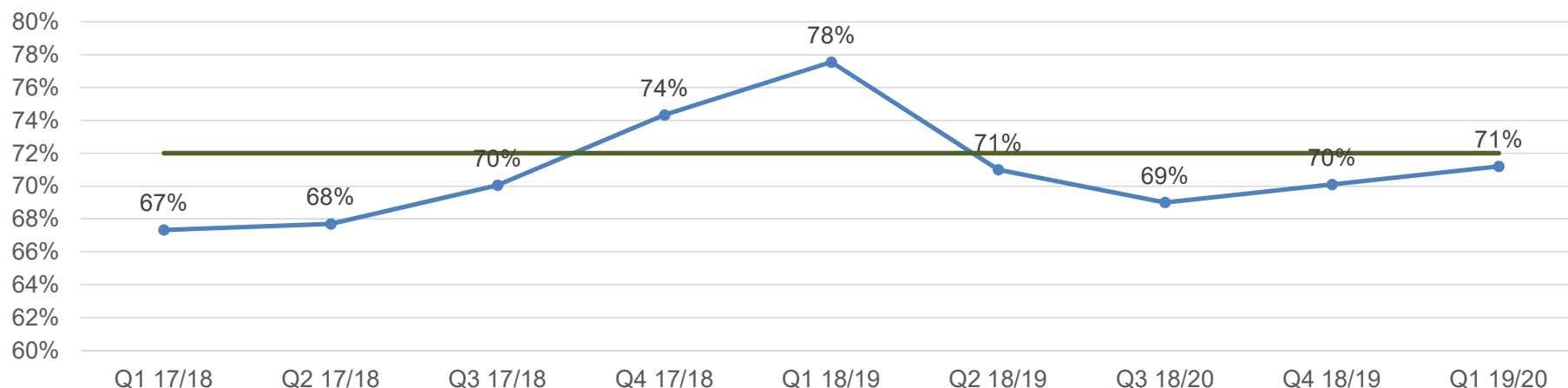
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Description	Proportion of children at or above an expected level of development of those who have an Age and Stages Questionnaire score at 2-2.5 year review						
Polarity	Higher is better	Target	>72%				
Q2 2017/18	Q3 2017/18	Q4 2017/18	Q1 2018/19	Q2 2018/19	Q3 2018/19	Q4 2018/19	Q1 2019/20
68%	70%	74%	78%	71%	69%	70.1%	71.2%
N/A	↑	N/A	↑	N/A	↑	A	↑
			A	↑	A	↓	R
							↓
						A	↑
							A
							↑

Commentary and actions to be taken

- The measure will help monitor child development to observe changes in population health from year to year. It supports assessment of the effectiveness and impact of services for 0-2-year olds and planning of services for children age 2 and beyond.
- The Ages and Stages Questionnaire-3 (ASQ-3) covers five domains of child development: communication, gross motor skills, fine motor skills, problem solving and personal-social development.
- Health visiting teams should have been using ASQ-3 as part of Healthy Child Programme two-year reviews from April 2015. Please see <https://www.gov.uk/government/publications/healthy-child-programme-0-to-19-health-visitor-and-school-nurse-commissioning> for more information.
- All the Somerset health visiting teams have been trained in the use of the ASQ-3 assessment.
- A focus for the service is on improving the uptake of the 2-year review (currently 94%), recording of ASQ-3 scores (currently 72%) and capturing individual domains of the ASQ-3. The ASQ-3 tool is not used for children with severe special educational needs or disabilities.
- Another area of activity is intervening when delays in child development are identified. This will be done either as a service or in partnership with a nursery.



Emotional health and wellbeing of children



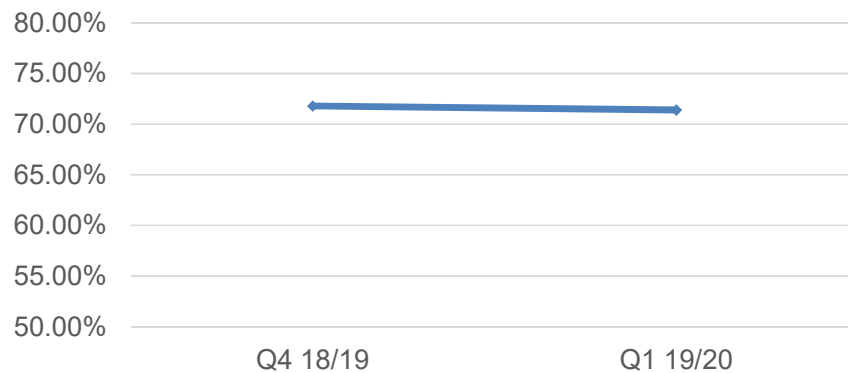
Information not yet available - this measure will track the number of schools signed up and delivering the Somerset Wellbeing Framework in 2019/20. Figures will be available from Quarter 2

Improve outcomes for vulnerable learners

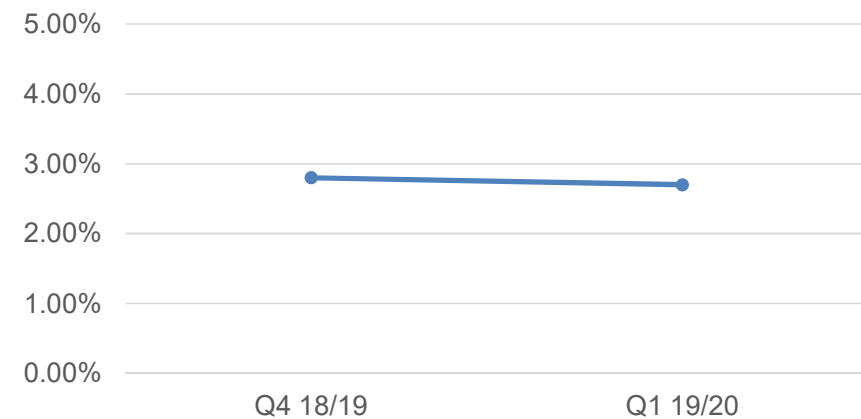


Further information including commentary and a RAG rating will be available from Quarter 2

Percentage of children achieving a Good Level of Development (GLD) at Early Years Foundation Stage (EYFS)



Percentage of 18 and 19 year olds not in Employment Education or Training



Ensure effective workforce capacity is in place across Children's Services

A



As at end of July 2019 % of contracted frontline social workers (including ASYE) = 82.57%

The workforce capacity continues to remain steady month on month, falling slightly from June 2019's figure of 83.46% but still remains up from January 2019's equivalent figure of 76.52%. This increase is primarily due to the ASYE pipeline that the authority has established; 14 Social Workers have joined the organisation as ASYEs since January 2019. The ASYE pipeline continues to ensure that leavers from the organisation are being replaced quickly and with talent that has been "Grown" at SCC. The amber status reflects the fact that we are still losing too many staff post ASYE.

Embedding a learning culture in Children's Social Care

A



There is considerable activity underway aimed at developing and embedding a learning culture within Children's Social Care:

1. Review and refresh of the current Quality Assurance Framework, reflecting developments in processes and thinking (Timescale: December 2019)
2. Updated Case File Audit Tool and process (now Practice Evaluation) to reflect a shift from measuring compliance to quality, and a focus on strength based approaches. (Completed and now in use.)
3. Updated Team Diagnostic Process to reflect a strengths based approach and learn from feedback received via Diagnostic Leads (Completed July 2019)
4. Development and implementation of a service wide systematic approach to recording feedback from children and families, to better inform service provision and development with their views (Launched August 2019)
5. Development of a Practice Framework for CSC, outlining the theoretical and practice tools which inform high quality social work practice in Somerset (Completed: to be launched in October 2019)
6. Simplification of complaints codes recording to enable more effective analysis of feedback trends (Completed – August 2019)
7. Participation in the ADCS Peer Challenge, with Bristol City Council, to seek independent peer oversight of quality of practice (Completed June 2019)
8. Development of a Multi-Agency Practice Evaluation (MAPE) based on appreciative enquiry techniques (Launched July 2019)

Breastfeeding continuation

G



Description	Percentage of all infants due a 6-8 week check by a health visitor that are totally or partially breastfed.						
Polarity	Higher better	Target	>53%				
Q2 2017/18	Q3 2017/18	Q4 2017/18	Q1 2018/19	Q2 2018/19	Q3 2018/19	Q4 2018/19	Q1 2019/20
51.38%	51.95%	49.37%	50.28%	49.70%	51.25%	55.00%	53.50%
A	↑	G	↑	G	↓	A	↑
		G	↓	G	↓	G	↑
		G	↑	G	↑	G	↑
		G	↑	G	↓	G	↓

Commentary and actions to be taken

- Increases in breastfeeding are expected to reduce illness in young children, have health benefits for the infant and the mother and result in cost savings to the NHS through reduced hospital admission for the treatment of infection in infants. Breast milk provides the ideal nutrition for infants in the first stages of life.
- There is evidence that babies who are breast fed experience lower levels of gastro-intestinal and respiratory infection. Observational studies have shown that breastfeeding is associated with lower levels of child obesity. Mothers who do not breastfeed have an increased risk of breast and ovarian cancers and may find it more difficult to return to their pre-pregnancy weight.
- The proportion of children breastfed at age 6-8 weeks old in Somerset remains above target and the overall rate for 2018/19 was 52.9% above target and compared with a reported England average for the previous year of 42.7%. The target has been increased from 50% to 53% in 2019/20 due to improved performance.
- Breastfeeding rates are significantly lower in areas of increased deprivation and therefore the breastfeeding data is being used for the first time to support the development of the Somerset Breastfeeding strategy; to identify key areas of the county for targeted work and to highlight inequalities, with lower rates amongst babies in more deprived and urban areas. Anecdotally breastfeeding rates among young parents are increasing. It is thought this is due to the roll out of the sling library scheme.
- Health Visitors are trained to support parents with all aspects of infant feeding including support with breastfeeding. In addition, a multi-faceted approach is used in Somerset to promote and sustain breastfeeding including a 'Positive about Breastfeeding' scheme for local organisations and businesses, breast feeding volunteer champions and the promotion of baby wearing to promote feeding and attachment through sling libraries.

Percentage of Good/Outstanding CQC Rated Provision (Adult Social Care)

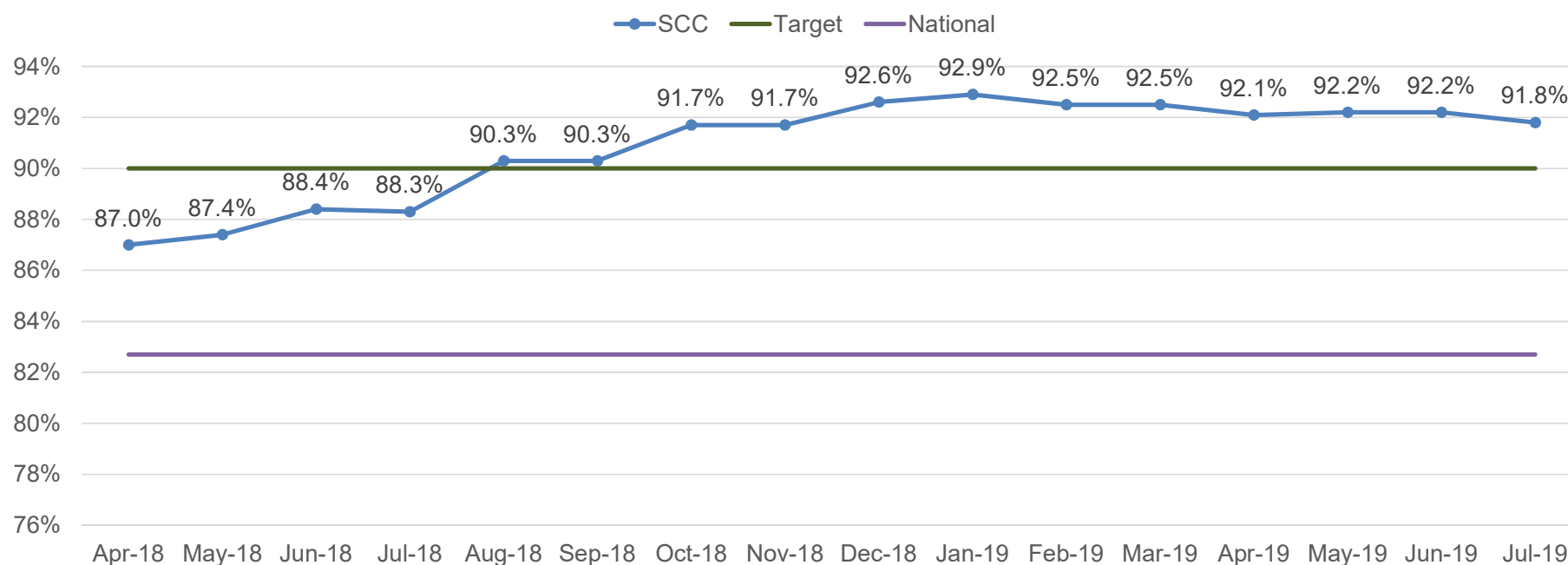
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Description	Data provided by Care Quality Commission (CQC) showing the proportion of provisions rated Good or Outstanding							
Benchmarking	Somerset's March '18 position was 87.5%. National comparator figure is 82.7%							
Polarity	Higher is better			Target	90%			
	Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19
	92.60%	92.90%	92.50%	92.50%	92.10%	92.20%	92.20%	91.80%
	G ↑	G ↑	G ↓	G →	G ↓	G ↑	G →	G ↓

Commentary and actions to be taken

The proportion of good or better CQC rated settings in Somerset continues to exceed the national average (84.2%)



Percentage of users using self-directed support (given a personal budget or in receipt of a direct payment)

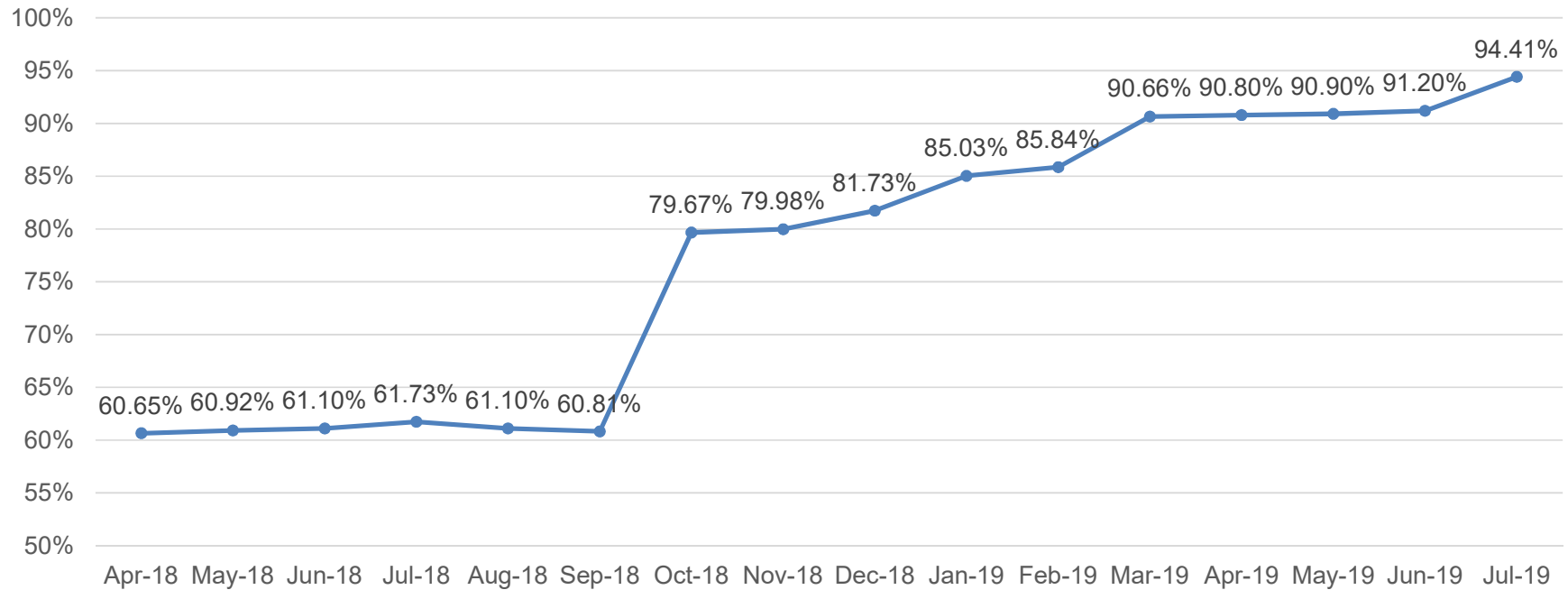
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Description	National ASCOF measure which records the proportion of eligible people in receipt of either a personal budget or a direct payment							
Benchmarking	National Average is 89.7% and regional average is 89.6%							
Polarity	Higher is better							
	Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19
	81.73%	85.03%	85.84%	90.66%	90.80%	90.90%	91.20%	94.41%
	G ↑	G ↑	G ↑	G ↑	G ↑	G ↑	G ↑	G ↑

Commentary and actions to be taken

Self-directed Support continues to improve, reaching 94.4% in July – target is 95%.



Delayed Transfers Of Care (DTC) - Delays attributable to Adult Social Care

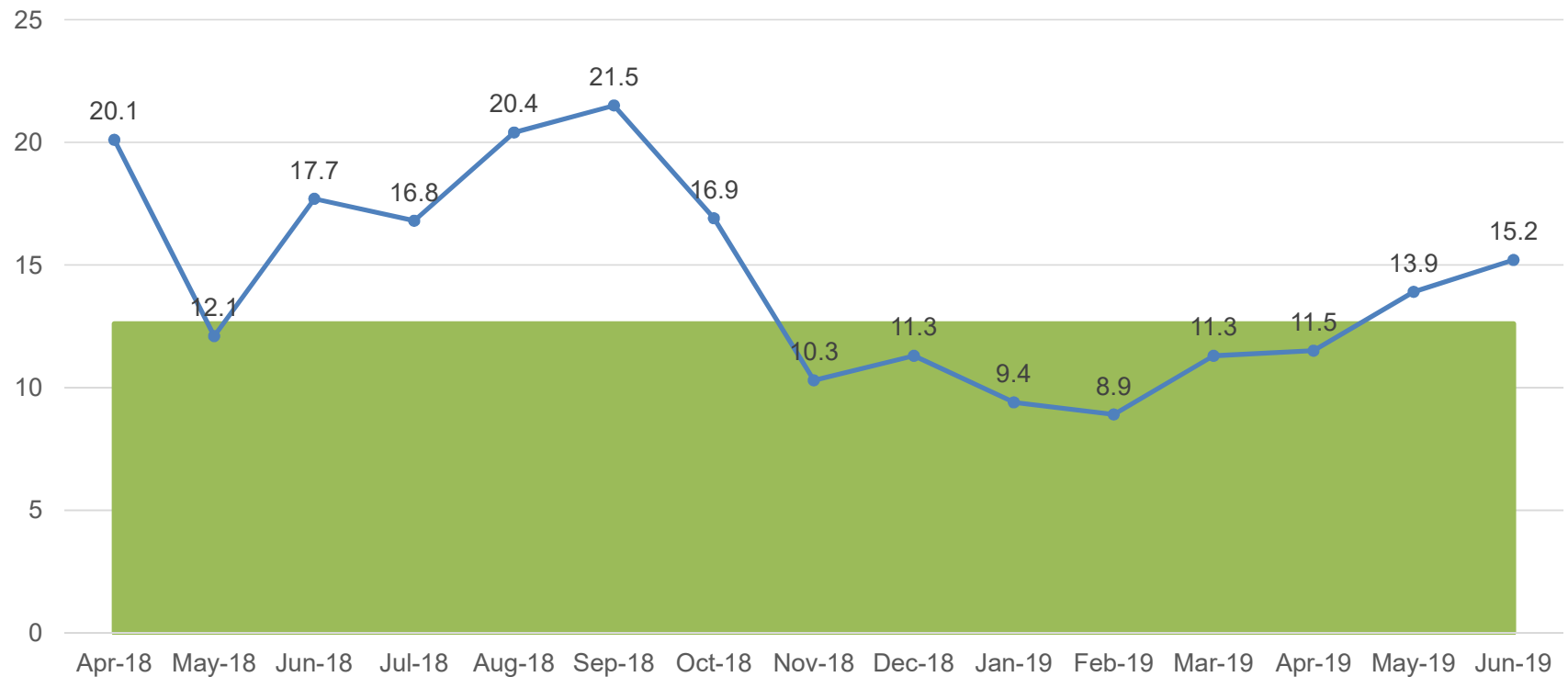
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Description The number of delayed hospital days per calendar day that are attributable to Adult Social Care.

Polarity Lower is better

Jan-19	Feb-19	Mar-19	Apr-19	May-19	Apr-19	May-19	Jun-19
9.4	8.9	11.3	11.5	13.9	11.5	13.9	15.2
G ↑	G ↑	G ↓	G ↓	G ↓	G ↓	G ↓	A ↓



Delayed Transfers Of Care (DToC) - Whole System (% of lost bed days per day)

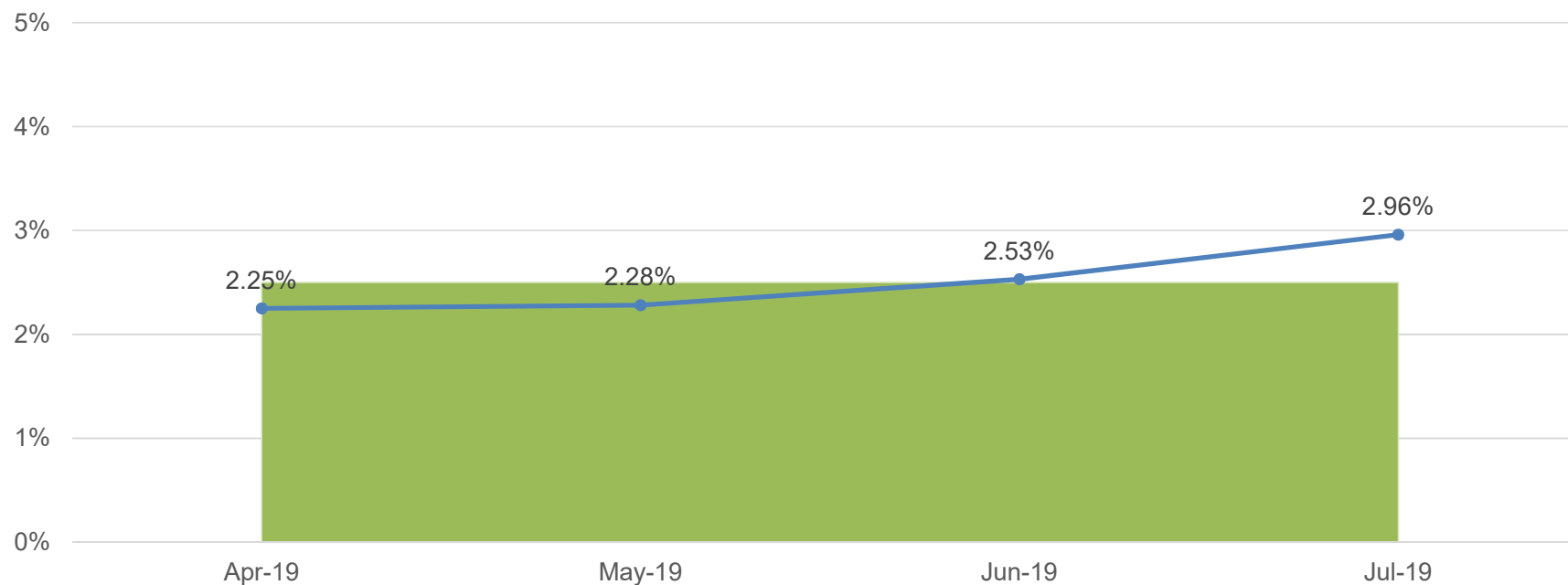
A



Description	The proportion of the total available 'bed stock' that was unavailable due to delays						
Benchmarking	Outturn figure for March '18 was 3.02%						
Polarity	Lower is better			Target	2.5%		
				Apr-18	May-18	Jun-18	Jul-18
				2.25%	2.28%	2.53%	2.96%
				G →	G ↓	G ↓	A ↓

Commentary and actions to be taken

The DToC target is 2.5%. In July 2019 we were 2.96%, in June we were 2.53% and this was the first time over target since October 2018. In June, 33% of DToC delays attributable to Adult Social Care were awaiting a care package in their own home (national figure 21%); these were almost exclusively within community hospitals.



No of new placements in Residential and Nursing Care in month (18-65)

A



Description The number of permanent placements of younger adults (aged 18-64) in Residential and Nursing care.

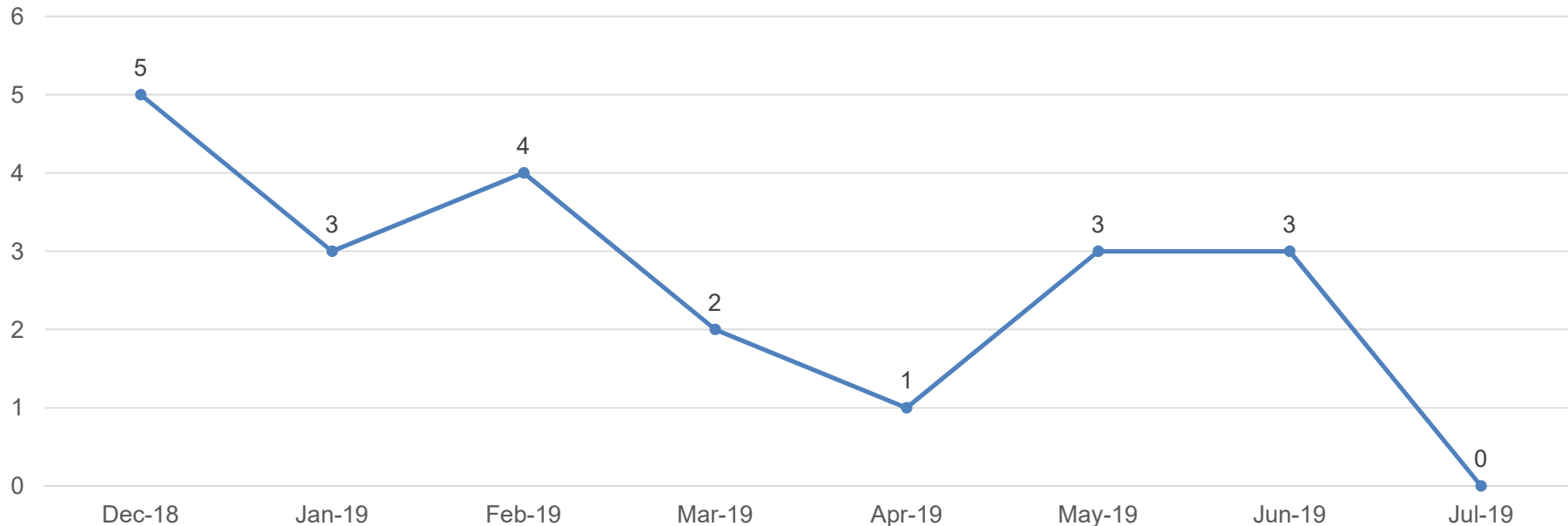
Benchmarking Somerset's 17/18 outturn was 18.7 placements per 100,000 population.
National average for 17/18 was 14 placements per 100,000 population.

Polarity Lower is better **Target** 10 per 100,000

Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19
5	3	4	2	1	3	3	0
R ↓	A ↑	A ↓	A ↑	A ↑	A ↓	A →	A ↑

Commentary and actions to be taken

New placements (permanent and temporary) into residential and nursing care are being closely tracked and monitored by the Adults Placement Board



No of new placements in Residential and Nursing Care in month (65 +)

G



Description The number of permanent placements of older adults (aged 65+) in Residential and Nursing care.

Benchmarking Somerset's 17/18 outturn was 690.3 placements per 100,000 population. National average for 16/17 was 610.7 placements per 100,000 population.

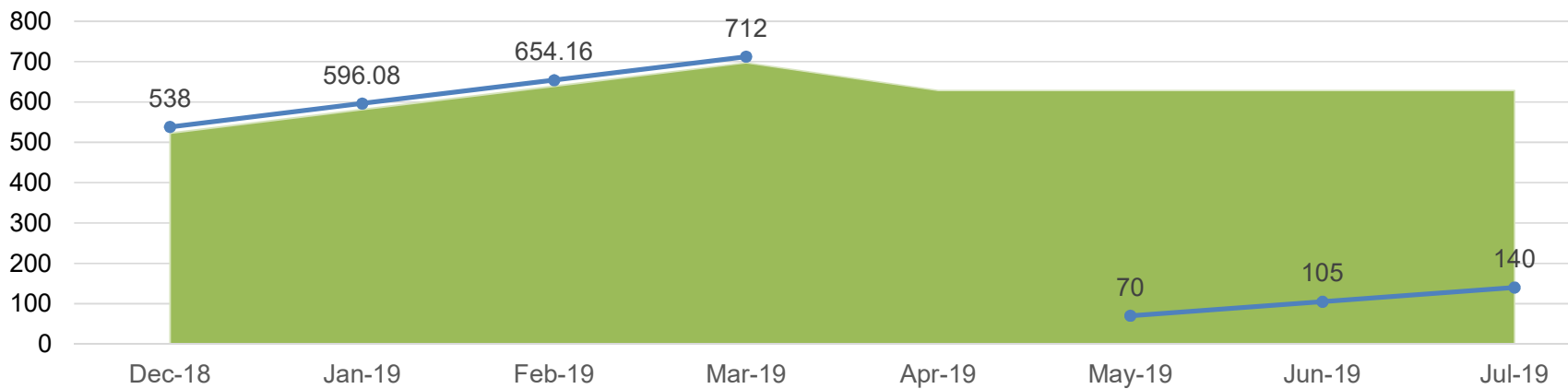
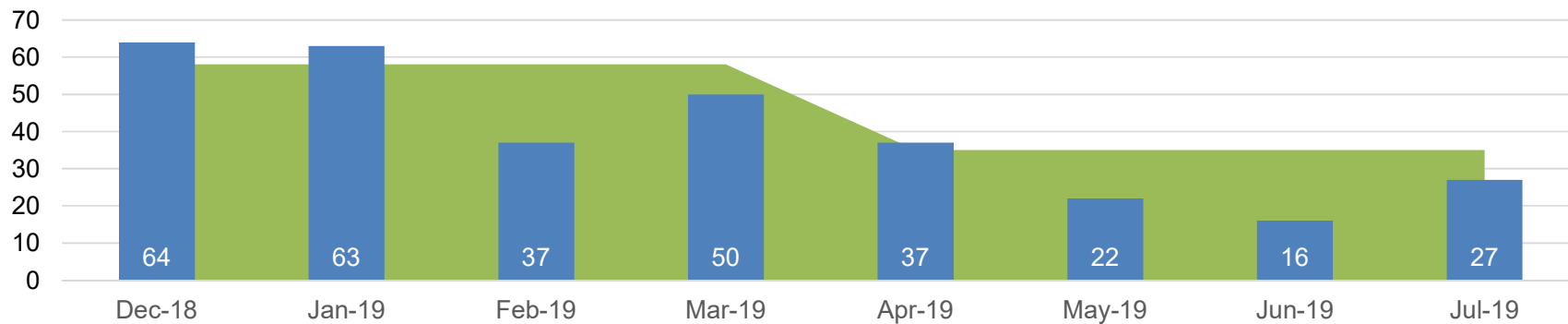
Polarity Lower is better **Target** 35 Per Month

Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19
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64	63	37	50	37	22	16	27
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A	↓	G	↑	G	↑	G	↑	G	↑	G	↑	G	↓
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Commentary and actions to be taken



Proportion of total Adult contacts handled by the Contact Centre resolved with Information & Advice/Signposting by either Contact Centre or Locality Triage Teams

G



Description The proportion of all contacts handled by the Contact Centre where the recorded outcome was 'Signposted' - i.e. the call was resolved without the need to pass the call to a social work team (Adults Services)

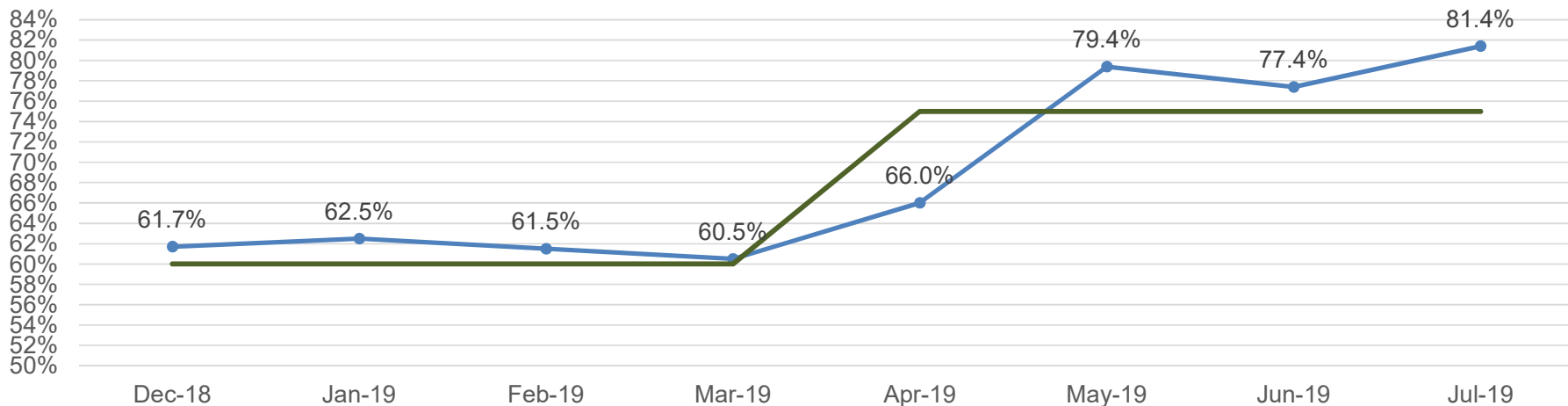
Benchmarking Outturn for 17/18 was 53.8%

Polarity Higher is better **Target** 60.0%

Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19
61.70%	62.50%	61.50%	60.50%	66.00%	79.40%	77.40%	81.40%
G ↑	G ↑	G ↓	G ↓	G ↑	G ↑	G ↓	G ↑

Commentary and actions to be taken

The proportion of contacts resolved at first point of contact via Somerset Direct reached 67.9% in July 2019 - the highest it has ever been. This is in spite of the total number of contacts received in July hitting over 5,900. The combined resolution rate (when also including those contacts resolved by operational triage teams) is up to 81.4%



Improved health and wellbeing and more people living healthy and independent lives for longer

Driving more effective customer interactions through the use of digital technologies



This is a new project and some new measures have been identified. Data gathering has commenced and are planned to be reported on from Quarter 2.



Contact us



If you have any specific questions or comments on this publication please contact the Planning and Performance Team on 0300 123 2224, or email performance@somerset.gov.uk

Decision Report – Cabinet
– 25th September 2019

2019/20 Revenue Budget Monitoring – Month 4 Report

Cabinet Member(s): Cllr Mandy Chilcott – Cabinet Member for Resources

Division and Local Member(s): All

Lead Officer: Sheila Collins, Interim Director of Finance

Author: Leah Green, Finance Manager MTFP – Corporate

Finance Contact Details: SDCollins@somerset.gov.uk 01823 359028

	Seen by:	Name	Date
	County Solicitor	Honor Clarke	16/09/2019
	Monitoring Officer	Scott Wooldridge	16/09/2019
	Corporate Finance	Sheila Collins	16/09/2019
	Human Resources	Chris Squire	16/09/2019
	Property	Paula Hewitt / John Cooper	16/09/2019
	Procurement / ICT	Simon Clifford	16/09/2019
	Senior Manager	Sheila Collins	16/09/2019
	Commissioning Development Team	commissioningdevelopments@somerset.gov.uk	16/09/2019
	Local Member(s)	All	
	Cabinet Member	Mandy Chilcott	17/09/2019
	Opposition Spokesperson	Liz Leyshon	16/09/2019
	Relevant Scrutiny Chairman	Cllr Anna Groskop for Scrutiny Place	16/09/2019
Forward Plan Reference:	FP/19/06/05		
Summary:	This report sets out the Month 4 forecast outturn position for 2019/20 for the net Revenue Budget of £327.967m. It highlights variances to service budgets, as well as emerging issues, risks, areas of concern and proposed actions to resolve them. The Council’s Medium-Term Financial Plan (2019-22)		

	<p>sets out proposals to further develop its financial resilience over the long-term whilst also supporting the delivery of the Council's key priorities.</p> <p>Although still relatively early in the year, the report continues to show an overall projected balanced position for the Council, with the main variances being within Children's Services and Trading Units (Dillington House). Management action is under development in these areas that will aim to ensure a balanced budget by the end of the year. However, until these are more fully developed, these variances are being reported in the detail of the report and a proportion of the corporate contingency 'notionally' allocated to off-set the variances. This leaves £6.033m of the Corporate Contingency budget currently unallocated (following the requested transfer below) and therefore potentially available to further improve the Council's financial resilience in the medium term. A decision regarding use of the contingency will be considered later in the year once the end of year position is firmer.</p> <p>The budget for 2019/20 includes a savings target of £21.547m and this report confirms forecast delivery of £21.485m. Of the remainder, very close monthly tracking and change control mechanisms continue to be in place as they have been since September 2018, to ensure full delivery during the year.</p>
<p>Recommendations:</p>	<p>It is RECOMMENDED that the Cabinet:</p> <ol style="list-style-type: none"> 1. Approve the transfer of £0.175m of Corporate Contingency to allocate the grant funding for Brexit to a specific Brexit budget within Economy Community and Infrastructure (section 4.7). 2. Note the forecast balanced budget position for the end of 2019/20 3. Note that £6.033m of the corporate contingency remains unallocated if the above recommendation is approved and this is expected to increase as management actions on service variances are confirmed 4. Note the delivery of £16.990m savings by Month 4 and the forecast delivery of £21.485m by the year end of the total target of £21.547m.

<p>Reasons for Recommendations:</p>	<p>Closely monitoring spend against the agreed budget is necessary to ensure that the Council delivers its priorities within its means. This report requires action to be taken so that this objective can be met.</p>
<p>Links to County Vision, Business Plan and Medium-Term Financial Strategy:</p>	<p>The Medium-Term Financial Plan (MTFP 2019-22) sets the funding for the County Vision and the use of those funds is then monitored, via this report and others throughout the year to ensure delivery of Council objectives and actions within the resources available.</p>
<p>Consultations and co-production undertaken:</p>	<p>Information and explanations have been sought from directors on individual aspects of this report and their comments are contained in the report. Due process and consultations will be carried out where required for any further specific proposals for change.</p>
<p>Financial Implications:</p>	<p>The financial implications are identified throughout the report.</p>
<p>Legal Implications:</p>	<p>There are no specific legal implications arising from this report.</p>
<p>HR Implications:</p>	<p>There are no HR implications arising directly from this report, but remedial actions may have such implications. These will be dealt with in any subsequent reports.</p>
<p>Risk Implications:</p>	<p>The Council's corporate risk register recognises the difficulties to containing spend within budget in the face of service pressures, reducing funding and the challenges of delivering ever more savings and efficiencies.</p> <p>Although broader market uncertainty exists in view of the current Brexit negotiations, at this stage any precise implications are not known. The Council needs to be alert to potential implications as negotiations develop and respond accordingly at the time.</p> <p>The Children's Services budget, while rebased, remains under pressure as the Service continues to improve alongside the sensitivity of some aspects of the services to volume changes, especially placements.</p>

	<p>The Organisational Risk (00043) has a broad perspective, encompassing both current year spending and future years' budgets. At the beginning of each year this corporate risk is reviewed.</p> <p>The up-dated risk for 2019/20 acknowledges the improvement that has been made and describes the risk to be: "Maintaining a balanced budget for 2019/20 and ensuring a sustainable MTFP. There is a risk to the council's long-term sustainability if there are significant in-year service overspends, and or if the council suffers significant loss of funding in future years its ability to prepare a robust and sustainable MTFP for 2020/21 onwards may be impacted."</p> <p>Following the Spending Round (SR19) additional funding for Local Authorities has been announced mainly for social care and high needs education. However, the mechanisms for distribution of these additional funds is not known and the precise allocation for the Council is not expected to be known until the Provisional Local Government Financial Settlement expected in the autumn.</p> <p>Additionally, there is no current commitment to continue the significant levels of one-off funding beyond 2020/21. In view of this significant level of uncertainty facing local government in funding and on-going increasing demand pressures and costs, despite growing confidence with internal control mechanisms, the risk score remains at the current level of "very high" (4x4(16)).</p> <p>Robust control must be maintained.</p>				
	Likelihood	4	Impact	4	Risk Score

Other Implications (including due regard implications):	<p><u>Equalities Implications</u></p> <p>There are no specific equalities implications arising from the contents of this report.</p>				
	<p><u>Community Safety Implications</u></p> <p>There are no community safety implications arising from the</p>				

	<p>contents of this report.</p> <p><u>Sustainability Implications</u></p> <p>There are no sustainability implications arising from this report.</p> <p><u>Health and Safety Implications</u></p> <p>There are no health and safety implications arising from this report.</p> <p><u>Privacy Implications</u></p> <p>There are no privacy implications arising from this report.</p> <p><u>Health and Wellbeing Implications</u></p> <p>There are no health and wellbeing implications arising from this report.</p>
<p>Scrutiny comments / recommendation (if any):</p>	<p>This report will be presented to Scrutiny for Policies and Place Committee, on 9th October 2019 and Scrutiny for Children on 18th October; comments arising will be made available to the Cabinet at a subsequent meeting.</p>

1. Background

- 1.1. This report is the third revenue budget monitoring for 2019/20 and remains a relatively early forecast of the potential end of year position.
- 1.2. Nevertheless, it is encouraging that the forecast continues to show confidence that the more robust approach to budget planning for 2019/20 onwards has ensured that the budget assumptions are realistic, and deliverable with a relatively small adverse variance seen in Service forecasts of £0.520m. At this early stage in the year, this is being off-set by a 'notional' allocation from Corporate Contingency while firm management actions to correct variances are being developed.
- 1.3. The Council must, and will, sustain this tighter financial grip going forwards. This will include the continuation of formal monthly monitoring report to Cabinet and to Scrutiny for Policies and Place and continual improvements to the format,

content and layout of the reports to aid effective review and scrutiny. Alongside this internal tracking and budget monitoring processes continue to be given close attention by the Senior Leadership Team.

2. Reserves

2.1. Table 1 below shows a summarised position of the anticipated use of earmarked reserves in 2019/20. As part of the fuller quarterly budget monitoring reports, reserves will be reported in more detail including explanations for changes since the previous forecast and will include a forecast of the value of reserves that will be held at the end of the year.

2.2. Table 1 Earmarked Reserves: Anticipated movements for 2019/20

Service	Month 3 Forecast	Month 4 Forecast	Movement from Previous Cabinet Report
	£m	£m	£m
Adult Services	2.830	2.830	0.000
Economic & Community Infrastructure Services	0.780	1.908	1.128
Key Services Spending	3.610	4.738	1.128
Corporate & Support Services	(1.214)	(1.223)	(0.009)
Non-Service Items less contingency	(3.357)	(3.357)	0.000
Trading Units	0.000	(0.039)	(0.039)
Support Services & Corporate Spending	(4.571)	(4.618)	(0.047)
Total SCC Spending	(0.961)	0.120	1.081

3. Capital Receipts Flexibilities (CRF)

The MTFP (2019-22) process included a review of business cases supporting the transformation activity that planned to utilise capital receipts flexibilities during 2019/20 in compliance with Government Guidelines. This was planned at £2.795m in the MTFP. The Month 4 forecast position indicates a small reduction by £0.283m to £2.512m. A full summary of the forecasts will be included in the quarterly budget monitoring reports.

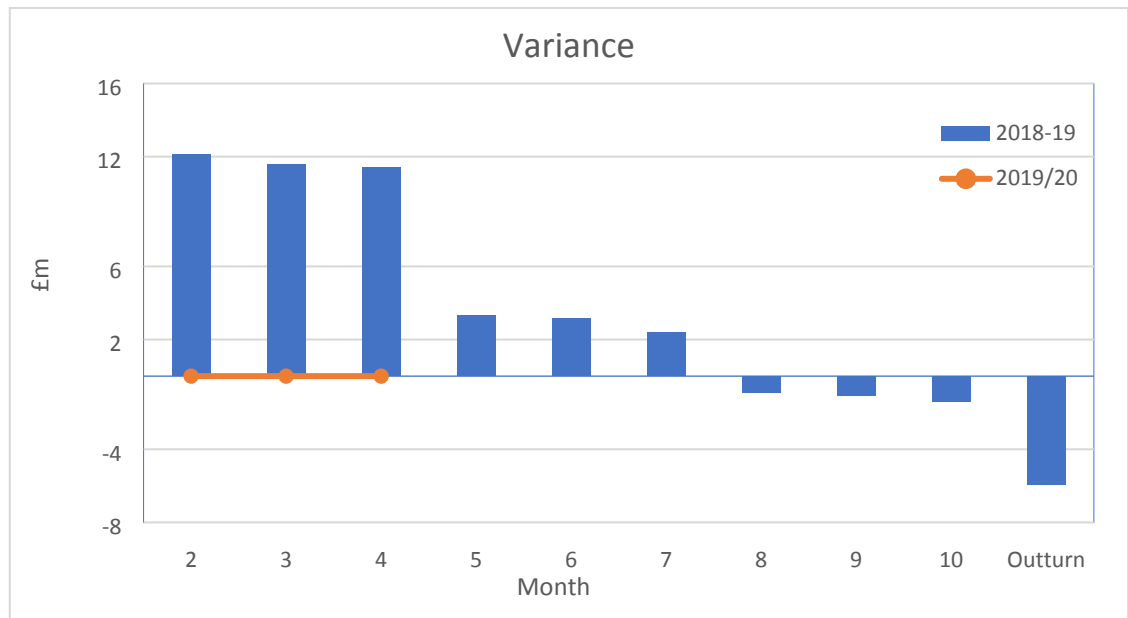
4. Summary Forecast 2019/20 – Revenue Budget

The Councils forecast shows a projected balanced position when compared to the revenue budget of £327.967m. There is a forecast overspend in Key Service Spend with most of the variance being within Children's Services and Trading Units (Dillington House). Most other areas of the Council are within reasonable

tolerance.

- 4.1. The following graph (Graph 1) compares the reported monthly budget variances in 2018/19 and the current financial year.

Graph 1 – Revenue Budget Variances 2018/19 and 2019/20



- 4.2. The table showing the projected end of year position, and variances from agreed budgets, are set out in Appendix A. The paragraphs below offer short explanations of the major parts of those variances. As part of continuing improvements to financial management the format of the table in Appendix A will be reviewed to ensure that it meets current best practice and aids transparency.

4.3. **Key Variances**

- 4.4. **Adults Services** (*Net budget £126.418m, £0.132m projected overspend, adverse movement £0.132m*)

Adult Services: adverse £0.132m, adverse movement; £0.132m

The Adults budget is projected to be overspent by £0.132m against the net budget of £126.418m.

There has been a small increase in the projected spend reported against Mental Health since the month 3 report which has resulted in an adverse movement of £0.132m (0.1%), and unlike previous months these ongoing costs have not been offset by resilience funding as this is now only available to fund one off costs in 2019/20.

The main reason for the reported increase against the Adults budget is Nursing placements for people with Dementia. There have been 2 new placements made this month as well as some backdated one-to-one costs for a client already in a Nursing Home. There is a projected overspend of £0.486m against the Mental Health budget and this is a particular area of demographic growth. The MH transformation plan alongside new models of care being commissioned will help to support the increased cost on this area.

There has been very little change against the rest of the Adult Social Care budgets where the underspend reported is currently -£0.233m. The reductions that there have been are contributing to the achievement of MTFP savings relating to reviews and managing demand in residential and nursing placements. Both of these savings are on track to be fully achieved in year. Other than this the projected spend has stayed static over the past month.

The Learning Disabilities Pooled Budget expenditure has remained the same this month with increases in Purchased support being offset by a decrease within Discovery.

The three main areas of increased spend within the Purchased budgets. One high-cost Supported Living placement, increased Domiciliary Care hours including some backdated to May and a net increase of 12 people taking a Direct Payment to meet their outcomes. These increases have been offset by reduced Residential, Domiciliary Care and Day Services provided through the Discovery contract.

There are MTFP savings of £5.157m to be achieved during 2019/20. Of these £3.491m have already been fully achieved with the remaining £1.666m on track to be delivered throughout the year.

4.5. Children's Services (*Net budget £83.550m, £0.467m projected overspend, favourable movement -£0.030m*)

Children's Services: adverse £0.467m, favourable movement; -£0.030m

Movements across the service since month 3 are minimal and due to vacancy savings within the Commissioning Service and Placement Team.

Transport projections continue to be a focus due to ongoing concern regarding the management information and systems used in the current financial forecasting as highlighted over the last few months. Finance are continuing to work with Transport Commissioners and the Transporting Somerset Service to ensure these processes and system are working appropriately in order that Finance can provide an appropriate level of quality and assurance. Further work is also being undertaken

to ensure models of demand are up to date and are being appropriately fed in to the financial forecasts. Tendering for routes to start in September will continue through August resulting in a more stable platform for management information from the service.

External placements projections remain reasonably stable with the projected overspend reduced by £0.021m, a total overspend of £1.025m. This is an area of volatility due to the individual and varying complexity of needs of our children and young people, with current average weekly rates for residential and fostering placements at £4,207 and £921 respectively. The budget continues to be subject to additional financial monitoring arrangements to ensure that any deviation from projection can be identified by managers as early as possible.

Work has been undertaken to refine the financial model used for projecting the fostering and personal allowances for 16 and 17-year-olds. This has resulted in a previously unidentified pressure of £0.115m, increasing the overall Leaving Care overspend to £0.357m.

The ongoing review of use of transport for contact and education by operations managers and business support has improved the forecast financial position by £0.168m, with the budget now projecting to underspend by the same amount.

Fees and allowances are reporting a reduced underspend of £0.130m. The number of Adoption allowances has increased resulting in an additional projected cost of £0.059m. This reflects a positive outcome for children in Somerset and is likely cost avoidance on other placements budgets.

There are no further movements across the service, leaving the remaining variances as previously report; Unaccompanied Asylum-Seeking Children (UASC) 0.175m underspend and staffing £0.487m underspend.

4.6. Public Health *(Net budget £1.114m, on budget.)*

Public Health: on budget £0.000m, movement; £nil

As reported previously there remains an underspend projected against this budget. However, the Director of Public Health is continuing to develop a plan to use this underspend to increase capacity within the specialist public health team. This capacity would provide additional support to the developing prevention focus across the organisation and wider system.

All savings have been fully achieved for 2019/20. These were a £0.547m reduction to the Public Health Grant and £0.100m of MTFP savings.

4.7. Economy Community and Infrastructure *(Net budget £66.285m, -£0.484m projected underspend, favourable movement of -£0.113m)*

Economy & Community Infrastructure: favourable -£0.484m, favourable movement; -£0.113m

Economy Community and Infrastructure's (ECI) are forecasting an underspend of £0.484m for 2019/20. The major variations are:

Economic Development are projecting an overspend of £0.033m this is as a result of the anticipated additional legal costs for Connecting Devon and Somerset.

Highways and Transport Commissioning are projecting a £0.461m overspend. This is as a result of new urgent technical studies and additional staff to improve service levels in highways development management. The adverse movement of £0.124m from month 3 is due to increased agency staff costs and the technical study costs for Edithmead junction.

Highways is forecasting a £0.459m overspend. The £0.151m adverse movement from month 3 is due to an anticipated overspend on the tree maintenance budget due to the high volume that requiring felling, the compliance testing project and the recruitment of two quantity surveyors to temporarily assist with contract management. The highways overspend is being closely monitored and a plan will be put in place to reduce this.

Traffic Management are forecasting a £0.178m underspend, an adverse movement of £0.002m to the underspend reported in month 3. This underspend is due to improved income forecasts as a result of an increase in applications for Temporary Traffic Regulation Order income (road closures and diversions) and a more consistent approach to enforcement charging.

Property Services are projecting a £0.283m underspend. This is as a result of rental income on some properties not reducing as expected due to the delay in the sale of properties. The underspend has increased from month 3 by £0.160m due to NNDR rebates for A block and a reduction in energy costs.

Transporting Somerset are £0.163m underspent which is an adverse movement of £0.034m from month 3. This in the main is due to Concessionary Fares projections. However, it is very early in the year and Concessionary Fares volumes and County Ticket take-up can impact the outturn position as the year progresses.

Somerset Waste Partnership are forecasting an £0.803m underspend, a favourable movement of £0.231m from month 3. The movement is as a result of being further

into the year and having more actual waste tonnage data available to project the outturn position. Waste volumes are currently down 0.5% on the same period last year. Landfill tonnages at the kerbside are down 1% and dry recycling is down 5%. Recycling sites landfill is down by 6% which is in part compensated by recycling site dry recycling being up by 3.5%.

There are still a number of factors that could change forecasts including winter and emergency costs and any upturn in waste volumes and transport costs (Concessionary Fares as a result of operator's data and County Ticket).

Central Government announced on 28 January 2019 that they would make available £40m of funding to aid Brexit preparations within local government. The Council's element of this funding was £0.175m of grant split between £0.087 paid in 2018/19 and £0.087m paid in 2019/20. The grant was assumed as additional funding for the council. The grant monies have been received however due to the restrictions on the expectations that these sums would only be used to enhance capacity and capability within the councils there is a need to pass this funding to be managed separately with additional spend and commitments. It is therefore requested that £0.175m is transferred from Corporate Contingency to the Civil Contingencies service within Economy, Community and Infrastructure services.

Economy Community and Infrastructure have £3.165m of savings for 2019/20. Of this £1.899m has been achieved, £1.256m is on track to be achieved and £0.010m is unachievable and is going through the change control process.

4.8. Corporate and Support Services *(Net Budget £22.270m, -£0.139m projected underspend, adverse movement of £0.133m)*

Corporate and Support Services: favourable -£0.139m, favourable movement; -£0.133m

Corporate and Support Services are forecasting an underspend of £0.139m for 2019/20. This is due to the following;

Customers and Communities are forecasting an underspend of £0.051m. This is due to staff vacancy savings of £0.080m offset in part by a shortfall in income and additional training costs. The movement of £0.021m from month 3 is due to a further increase in staff vacancies.

Democratic Services are projecting an overspend of £0.062m, this is as a result of a shortfall of Partnership Governance funding and £0.035m of MTFP savings (Member allowances voluntary deduction, Partnership Governance income generation and Democratic Services demand management) that are unachievable. These savings are unachievable due to the business need to continue as the Host

Authority for several significant Partnership Governance arrangements and additional work as part of the Improving Lives Programme and Peer Challenge recommendations for Member training and the review of the council's scrutiny function. The movement of £0.016m from month 3 is as a result of an increase in projected salary costs.

Commercial and Procurement are forecasting an £0.100m underspend, as a result of a number of staff vacancies that are yet to be filled and staff MTFP savings being achieved earlier than anticipated. The £0.007m movement from the month 3 position is down to further staff vacancies.

The ICT month 4 projection shows a favourable movement of £0.089m from month 3. This is due to an underspend on transformation projects resulting in a projected underspend of £0.053m.

There are number of other small over and underspends within Corporate and Support Services which offset each other at this stage.

Corporate & Support Services have £3.574m of savings for 2019/20. Of this £3.201m has been achieved, £0.326m is on track to be achieved and £0.046m is currently unachievable. This is made up of £0.034m of unachievable savings within Democratic Services for income recovery and generation and a £0.012m unachievable saving in Legal Services which is being reviewed.

4.9. Non-Service (*Net budget £21.603m, £0.255m projected overspend, adverse movement of £0.003m*)

Non-Service: adverse £0.255m, adverse movement; £0.003m

Although there is only a minor movement in Non-Service budget forecast there are adverse and favourable variances comprising £0.104m adverse variance due to the loss of long-term lease income from a Care Home, and £0.102m net favourable variance in financing costs comprising savings from a reduced calculation of Minimum Revenue Provision (due to Capital Programme slippage) and reduced cost of debt due to the repayment of a LOBO loan last year which are off-set by adjustments relating to overhead charges and precept base that were not confirmed at budget setting.

4.10. Trading Units: (*Net budget £0.000m, £0.290m projected overspend, no movement.*)

Dillington House: adverse £0.290m, movement; £nil

Dillington is currently forecasting a deficit of £0.290m following the latest monthly

budget/performance review of month 3 accounts. The variance reflects revised projections of income levels across all areas of activity. Costs have also been adjusted to reflect the reduction in forecast bookings, particularly for weddings. A development plan has been formulated and work is on-going to generate additional income wherever possible for this year and secure bookings for future years.

An additional analytical review of the trading position for Dillington will be carried out during September with a view to report with more confidence an update as part of quarter 2 budget monitoring which will also indicate future year forecasts.

Support Services for Education: on budget £0.000m, movement; £nil

e-Learning and Information Management's (eLIM) surplus position has increased by £0.066m. This is due to vacancy savings of £0.025m and increased traded income of £0.042m within the Education Technology and SIMS Teams following confirmed buyback.

Further favourable movements of £0.062m within Somerset Centre for Integrated Learning (SCIL), SSE Outdoors and Contract Support due to confirmed buyback of services has also resulted in increased income.

These favourable variances have been offset in part by increased staff costs of £0.010m within HR Advisory and Commercial Development plus reduced buyback within Health and Safety.

The previously reported managed pressure of £0.067m is confirmed as achieved across the service and now reflected within the financial report.

4.11. Contingencies: *(Net Budget £6.728m, -£0.520m projected underspend, adverse movement of -£0.142m)*

Contingencies: favourable; -£0.520m, movement; adverse £0.142m

The 2019/20 budget included £7.226m in a corporate contingency to mitigate against the risk of unexpected in-year service pressures and or funding changes. This sum is now £6.728m following the agreed recommendation to transfer £0.498m to fund some of the pressure within Children's Services for SEN transport (as per July Cabinet meeting). A request is now made to transfer £0.175m to fund the enhanced capacity and capability to aid Brexit preparations (see paragraph 4.7 above).

At this stage in the year it remains prudent for this contingency budget to be shown as fully committed however an element is being shown to off-set the small

overspend of £0.520m currently forecast in service areas and Trading Units which is a movement of £0.142m from month 3. If the current overspend in other services and Trading Units is not mitigated by additional management action the remaining available contingency budget would be £6.208m. If the above request to transfer £0.175m to aid Brexit preparations is approved the remaining available contingency budget would be £6.033m. If no other pressures materialise during the year the outturn position would be an overall underspend of this sum for the authority.

5. Delivery of Savings

5.1. The Financial Imperative approach, established to manage the preparation and delivery of MTFP continues to provide monthly assurance for the development, delivery and validation of savings plans.

5.2. The different savings statuses are as follows:

- Red: This means that the saving has been identified as being at risk of delivery and plans to replace the saving have not yet been agreed via the change control process.
- Green: The saving is on track for delivery.
- Blue: The saving has been delivered.

5.3. The following table (Table 2) shows a summarised breakdown of achievement of savings for 2019/20 as at 31st July 2019 and confirms that 99% of the proposals for change have been classified as having a green or blue status, meaning service directors are confident that these savings will be delivered or in the case of the blue savings, they have already been delivered. 1% of savings proposals have been classified as red meaning the savings are currently at risk or replacement savings have not been agreed through the change control process. The monitoring of the delivery of the savings across the three decision processes can be seen in Appendix B.

Table 2 – Revenue Savings 2019/20

Area	Agreed Savings (£)	Red (at risk) £	Green (on track) £	Blue (delivered) £
Adult Services	5,506,800	-	1,666,000	3,840,800
Children's Services	4,592,800	5,800	1,246,500	3,340,500
Corporate & Support Services	3,573,500	46,300	326,403	3,200,797
Economic & Community Infrastructure	3,165,300	10,000	1,256,100	1,899,200

Non-Service	4,708,800	-	-	4,708,800
Total	21,547,200	62,100	4,495,003	16,990,097
Percentage of Delivery		0.29%	20.86%	78.85%

6. Options considered and reasons for rejecting them

- 6.1. There is no alternative but to undertake effective and thorough budget monitoring to follow through with appropriate actions to address any variances.

7. Background Papers

- 7.1. • 14th August 2019 Cabinet Quarter 1 (month 3) Budget Monitoring Report

Appendix A – Revenue Budget Monitoring (month 4) – Headline Summary Table

Service	Total Revised Budget	Adverse (+) Variances	Favourable (-) Variances	Net Month 4 Variance (Under) / Overspend		Month 3 Cabinet Report Net Variance	Movement from month 3
	£m	£m	£m	£m	%	£m	£m
Adult Services	126.418	5.135	(5.003)	0.132	0.10%	(0.000)	0.132
Children Services	83.550	3.739	(3.273)	0.467	0.56%	0.497	(0.030)
Public Health	1.114	0.000	0.000	0.000	0.00%	0.000	0.000
Economic & Community Infrastructure Services	66.285	2.326	(2.810)	(0.484)	(0.73%)	(0.371)	(0.113)
Key Services Spending	277.366	11.200	(11.086)	0.114	0.04%	0.126	(0.011)
Corporate & Support Services	22.270	0.527	(0.666)	(0.139)	(0.62%)	(0.006)	(0.133)
Non-Service Items less contingency	21.603	0.640	(0.385)	0.255	1.18%	0.252	0.003
Trading Units	0.000	0.298	(0.008)	0.290	0.00%	0.290	0.000
Support Services & Corporate Spending	43.874	1.465	(1.059)	0.406	0.93%	0.536	(0.131)
Total SCC Spending before contingency	321.239	12.666	(12.145)	0.520	0.16%	0.662	(0.142)
Corporate Contingency	6.728			(0.520)		(0.662)	0.142
Total SCC Spending	327.967	12.666	(12.145)	0.000	0.00%	0.000	0.000

Total Revised Budget = Revised budget after transfers between services, not affecting the total budget for 2019/20

Adverse variance = one that deteriorates the projected outturn position

(Favourable) variance = one that improves the projected outturn position

Appendix B – Delivery of Savings Summary 2019/20

Savings agreed in February 2019 for 2019/20:

Area	Agreed Savings (£)	Red (at risk) £	Green (on track) £	Blue (delivered) £
Adult Services	3,389,000	-	1,666,000	1,723,000
Children's Services	1,701,000	-	98,300	1,602,700
Corporate & Support Services	2,955,900	-	270,503	2,685,397
Economic & Community Infrastructure	2,307,200	10,000	766,200	1,531,000
Non-Service	4,708,800	-	-	4,708,800
Total	15,061,900	10,000	2,801,003	12,250,897
Percentage of Delivery		0.07%	18.60%	81.34%

Savings agreed in September 2018 (MTFP2) for 2019/20:

Area	Agreed Savings (£)	Red (at risk) £	Green (on track) £	Blue (delivered) £
Adult Services	1,717,800	-	-	1,717,800
Children's Services	2,891,800	5,800	1,148,200	1,737,800
Corporate & Support Services	561,700	46,300	-	515,400
Economic & Community Infrastructure	842,400	-	489,900	352,500
Non-Service	-	-	-	-
Total	6,013,700	52,100	1,638,100	4,323,500
Percentage of Delivery		0.87%	27.24%	71.89%

Savings agreed in February 2018 for 2019/20:

Area	Agreed Savings (£)	Red (at risk) £	Green (on track) £	Blue (delivered) £
Adult Services	400,000	-	-	400,000
Children's Services	-	-	-	-

Corporate & Support Services	55,900	-	55,900	-
Economic & Community Infrastructure	15,700	-	-	15,700
Non-Service	-	-	-	-
Total	471,600	-	55,900	415,700
Percentage of Delivery		0.00%	11.85%	88.15%

ALL Combined Savings for 2019/20:

Area	Agreed Savings (£)	Red (at risk) £	Green (on track) £	Blue (delivered) £
Adult Services	5,506,800	-	1,666,000	3,840,800
Children's Services	4,592,800	5,800	1,246,500	3,340,500
Corporate & Support Services	3,573,500	46,300	326,403	3,200,797
Economic & Community Infrastructure	3,165,300	10,000	1,256,100	1,899,200
Non-Service	4,708,800	-	-	4,708,800
Total	21,547,200	62,100	4,495,003	16,990,097
Percentage of Delivery		0.29%	20.86%	78.85%

Somerset County Council

Cabinet

– 25 September 2019

Capital Programme 2019/20 – Quarter 1 Report

Cabinet Member(s): Mandy Chilcott – Cabinet Member for Resources
 Division and Local Member(s): All
 Lead Officer: Sheila Collins – Interim Director of Finance
 Author: Ian Trunks – Finance Manager, Capital
 Contact Details: SDCollins@somerset.gov.uk Tel: 01823 359028

	Seen by:	Name	Date
Report Sign off	County Solicitor	Honor Clarke	
	Monitoring Officer	Scott Woolridge	16.09.19
	Corporate Finance	Lizzie Watkin	16.09.19
	Human Resources	Chris Squire	
	Property/ ECI	Paula Hewitt	
	Procurement/ ICT	Simon Clifford	16.09.19
	Senior Manager	Sheila Collins	16.09.19
	Commissioning Development Team	commissioningdevelopments@somerset.gov.uk	
	Cabinet Member	Mandy Chilcott	17.09.19
	Local Members	All	
	Opposition Spokesperson	Cllr Liz Leyshon	16.09.19
	Relevant Scrutiny Chairman	Cllr Anna Groskop for Scrutiny Place	<i>16.09.19</i>
	Forward Plan Reference:	FP/19/16/09	
Summary:	<p>This report outlines progress against the County Council Capital Programme position for the first quarter of the 2019/20 financial year.</p> <p>At this stage, an under spend of £1.355m is forecast against the existing approvals of £788.885m.</p>		
Recommendations:	The Cabinet is recommended to note the contents of this report.		
Reasons for Recommendations:	To inform members of the financial position for the Capital Programme relating to the financial year 2019/20.		
Links to Priorities			

and Impact on Service Plans:	The Capital Programme is the means by which the Council provides the assets and infrastructure required to deliver the County Plan.
Consultations undertaken:	Information and explanations have been sought from service managers on individual aspects of this report and their comments included as appropriate.
Financial Implications:	The financial implications are dealt with in detail in the body of the report.
Legal Implications:	There are no specific Legal implications arising directly from the report.
HR Implications:	There are no specific HR implications arising directly from the report.
Risk Implications:	Any slippage within the Programme could lead to costs being incurred associated with delivering services such as additional costs for transporting children to schools and failure to deliver school places as the need occurs may result in cost pressures such as for educational placements. The current slippage does not have any identified impact at this time.
Other Implications (including due regard implications):	Equalities Impact Assessments for projects in the existing programmes were undertaken during the budget setting process and are updated as projects are implemented as necessary.
Scrutiny comments / recommendation (if any):	Not applicable.

1. Background

- 1.1.** This report provides a corporate overview of the financial aspects of the Capital Programme for the 2019/20 financial year. In doing this it provides reconciliation with the Outturn position for 2018/19 reported to Cabinet on 12 June 2019 and gives a baseline against which progress during this financial year can be monitored.

This is the first formal report to Cabinet for the current financial year (2019/20) and many projects are in the early stages of design and delivery.

As such future reports will include updated estimates of spend that are as realistic as possible and will track the changes in timing of forecast spend (slippage) as well as the overall impact of forecasts on the Capital Programme (under/overspend).

2. Options considered and reasons for rejecting them

2.1. Active Approvals 2019/20

2.1.1 The Capital Programme active approvals at the 31 March 2019 were £730.433m. The current Capital Programme for 2019/20 contains net approvals of £788.885m. Details of the movement in approvals, included at service level, are contained within Appendix A to this report.

2.1.2 There have been a number of virements undertaken during the first quarter, which is normal as services update the information for the new financial year. They have been examined to identify the purpose of the virement; they do not require formal ratification by members as they are classed as technical changes. The virements have been undertaken to enable the effective management of generic approvals by creating individual projects as detailed proposals are developed and cost estimates become available. At the latter end of the process as projects are completed, virements are also used to manage the consequential difference between estimated and final costs to enable the generic approvals to be repackaged to deliver further individual projects within the intended objectives.

2.2. Forecast Expenditure

2.2.1. At the end of July 2019 services were forecasting future expenditure of £380.677m over the current and subsequent four financial years. Details of the projected spend are included in Appendix B.

2.2.2. As this is the first report of the financial year it will become the basis on which the movements in predicted spend and outturn for 2019/20 will be measured. Services are continuing to work at providing estimates of actual spending that are as realistic as possible in order to create a realistic measure of the changes over time.

2.2.3 Forecasting capital expenditure levels is particularly difficult due to the reliance on contractor activity, the weather and capacity within the Council's providers to design and support the programme. The actual programme is also only fully developed later in the financial year as individual projects are finalised and specifically programmed from the generic programmes. Clearly it is only at this stage that a realistic estimate of the timing of expenditure can be made.

2.2.4 Finance will also be undertaking further work with Services to challenge forecasts in order to provide senior managers and members with

reassurance on the accuracy of forecasts.

2.3. Forecasting Net Over or Under Spends

2.3.1. The net over/under spending is calculated using the actual expenditure to date on a project added to the predicted expenditure in future years, the total of these is compared to the recorded approvals. The over or under spend is the difference. Details at service level are including in Appendix C. Current forecasts are that £787.530m will be required to complete the programme. Of this, it was planned that some £380.677m would be required in the current and future financial years after taking into account the £406.853m incurred prior to 31 March 2018. This is some £1.355m less than the approval currently available (£788.885m).

This is made up of a number of schemes as detailed in Appendix C.

2.3.2. An overview of the Capital Programme indicates that the programme is being managed proactively by services within the resources that they have available. Commitments are not being entered into without an available budget and generic approvals are being managed as costs become more certain and the programme of work adjusted accordingly. However, there remains a need to fully scrutinise the forecasts received in order to be certain of their accuracy as we head further into the financial year.

2.4. Other Matters

2.4.1. Capital Receipts

Capital Receipts are the sums received from the sale of assets where the proceeds exceed £0.010m. Net useable receipts received up to July 2019, after taking into account the costs of sale, amounted to £0.545m. Current estimates based on progressing sales indicate £7.677m might be realised from sales by the end of the financial year. Realising this sum will however depend on circumstances outside the direct control of the County Council including the wider economic outlook and third parties. It could also be compromised if any of the properties are the subject of a Community Asset Transfer application.

2.4.2. Contributions (S106/ CIL/ Other)

As part of the move towards reporting a full capital programme, we are in the process of adding the known approvals for all contribution funded schemes. In previous years, contribution approval was only added when the income had been received.

We now intend to have this additional section in the quarterly reports to update members on the position of contributions, to highlight where

funding is not secured and therefore the council is potentially cash flowing/ underwriting until the funding is in place. This will include a new appendix to RAG status contributions, as below:

- Red – Unsecured/ unsigned agreements;
- Amber – Secured/ signed agreements but funding yet to be received;
- Green – Agreement in place and funding received.

Work is underway to collate this information in the right format to enable consistent reporting across the council.

3. Consultations undertaken

- 3.1.** Information and explanations have been sought from services on individual aspects of this report and their comments are included as appropriate.

4. Financial, Legal, HR and Risk Implications

4.1. Risk Implications

4.1.1. Additional School Places.

The requirement to build new schools in Somerset to meet the growing basic need for school places is a key driver of the capital investment programme. We are bidding for funding to the DfE and through the Housing Infrastructure Fund to provide resource for the capital programme. If we are unsuccessful, we will need to assess the impact of this on the schools' sufficiency programme and reassess the overall schemes required to deliver school places. Officers continue to assess different delivery options to meet this need in the most effective and efficient manner.

4.1.2. Early Years Sufficiency

The Childcare Sufficiency Assessment in 2017 and 2018 identifies that there is potentially a shortfall of places for Early Years in certain gap areas in Somerset and predominately in the Summer Terms. There is a low risk to the Council in the areas identified as insufficient. The demographic of the county in regards to rurality vs sustainability and, on the other hand, large suburban areas e.g. Bridgwater and lack of suitable premises creates a unique challenge to commissioners. This is continually monitored by the Early Years and Sufficiency Team who provide a brokerage service for families. The Early Years Team provides information to commissioners and the council. The council responds by providing some capital investment in Early Years to meet any sufficiency needs.

4.1.3. Housing Infrastructure Bid to Homes England

The Council submitted a Housing Infrastructure bid for £93m to Homes England in March 2019. Homes England are currently in the process of reviewing bids, seeking further information where needed, and arranging

some site visits. It is anticipated that any announcement on successful bids will be made towards the end of 2019.

4.1.4. Capital Receipts.

The capital programme has planned expenditure in 2019/20 of £1.977m to be funded by capital receipts (total of £4.864m over the next three years).

Increasingly limited capital resources continue to place further demands on the Council to rationalise its use of assets and develop shared facilities with other public and third sector organisations.

During 2019/20, the Council plans to continue to use the flexibility permissible by the Government to fund qualifying revenue costs of service reform and transformation from capital receipts to the value of £2.512m.

Should the authority not achieve the level of receipts anticipated, a review of alternative funding options will be required.

4.1.5. Mid-Year Pressures.

Capital investment and planning decisions are predominantly taken during the MTFP process in setting the annual budget. During this process a view is taken on the level of available resources which allows a minimal reserve to be held for unforeseen in year requirements

If significant in year requirements are identified and the funding cannot be met from existing resources it is possible that the Council would need to consider external borrowing to fund the requirements or revise and reduce the core investment plan. If external borrowing is to be used, then it must be noted that there will be an additional charge to the revenue budget.

4.1.6. Budgetary Control.

This report indicates that there is an overall understanding of budgetary control of the capital investment programme, but that further work on the accuracy of some forecasting is required.

5. Other Implications

- 5.1.** Issues such as access, equality and diversity, human rights, community safety, health & well-being, sustainability, information request/data protection issues, organisational learning, partnership and procurement would normally be considered and managed at service, operational and project level.

6. Background papers

- 6.1.** 2018/19 Capital Programme Outturn Monitoring Report to Cabinet
2019/20 Capital MTFP Report to Full Council – February 2019.

Note: For sight of individual background papers please contact the report author.

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Cabinet

- 25 September 2019

Capital Investment Programme Approvals

Col 1	Col 2	Col 3	Col 4	Col 5	Col 6		Col 7	
Row No.	Service Area	Approvals as at end of March 2019 Outturn	Approval Amendments				N O T E S	Approvals as at end July 2019
			2019/20 Starts Programme Added	Completed Schemes Removed & Technical	Other Changes			
			£m	£m	£m	£m		
1	Schools - Primary and Secondary Sector	237.053	+18.333	-43.406	+5.092	1	217.072	
2	Local Enterprise Partnership	121.146			+25.931	2	147.077	
3	Economic Development	114.155	+11.480		+0.554	3	126.189	
4	Highways Engineering Projects	73.109	+36.003	-11.137	-0.894	4	97.081	
5	Highways and Traffic Management	95.719	+26.848	-27.032	-0.031	5	95.504	
6	Support Services	45.901	+7.841	-16.834			36.908	
7	Somerset Waste Partnership	0.000	+24.510				24.510	
8	Early Years and Community Services	10.346	+4.925	-2.602			12.669	
9	Flood And Water	10.310					10.310	
10	Schools - SEN and Access	7.818	+2.800	-3.496			7.122	
11	Other Services	14.876	+1.227	-1.585	-0.075	6	14.443	
12	TOTAL	730.433	+133.967	-106.092	+30.577		788.885	

Notes:

Some £133.967m of new schemes have been added to the monitoring process because of the 2019/10 Capital programme new starts agreed at County Council in February 2019. Of this sum, £71.772m is expected to be financed from government ring fenced and un-ring-fenced grants, £49.063m to be financed from new debt and the remaining £13.132m to be funded from external contributions (S106, CIL, etc.).

During the period to the end of July 2019, we have undertaken a review of completed schemes and removed approval from the active capital programme. The value of the schemes removed is £106.092m.

Since the end of the financial year March 2019 additions amounting to £30.557m have also been added to the overall level of approvals, detailed as follows:

1. Schools – Primary and Secondary (+£5.092m)

As part of the move to show a complete capital programme, the following S106 contribution approvals have been added:

- £1.776m for Taunton Nerrols new school build;
- £1.232m for Castle Cary Primary school;
- £0.454m for New Bridgwater Primary school;
- £0.297m for Brue Farm school, Highbridge;
- £0.239m for a Keyford (Yeovil) Primary School;
- £0.195m for Wellsprings School, Taunton expansion;
- £0.190m for Ilminster Greenfylde expansion/ replacement school;
- £0.183m for Kingfisher School, Yeovil.

The figure also comprises of:

- The addition of £0.833m DfE grant funding for Schools from the Devolved Formula Capital Grant;
- The addition of £0.295m of Lease funding for BSF Lifecycle Costs;
- The removal of £0.463m of borrowing funding for Schools Condition, as this approval was replaced by grant;
- The removal of £0.139m of grant approval for Schools Conditions, as the amount of grant award by DfE was lower than forecast in the 2019/20 Capital MTFP papers.

2. Local Enterprise Partnership (+£25.931m)

SCC is the accountable body for the Heart of the South West LEP. We have received on behalf of the LEP:

- £13.147m of DCLG Local Growth Fund grant for 2019/20;
- £12.784m of DfT grant for Plymouth CC LEP scheme.

3. Economic Development (+£0.554m)

This sum consists of the addition of:

- £0.933m of approval for Somerset Energy Innovation Centre Phase 3;
- £0.538m of approval for Somerset Energy Innovation Centre Phase 2;
- £0.02m of other reserves approval for Economic Development Low Carbon;
- £0.02m of other reserves approval for Minehead Business Incubator;

This sum also consists of the removal of:

- £0.750m of grant from Business Growth Fund. This match funding is only added once it is known which scheme it is to be spend on.
- £0.167 of capital receipts from Highbridge Phase 2 (no longer required);
- £0.004m of grant from Highbridge Phase 2 (no longer required).

4. Highways Engineering Projects (-£0.894m)

This sum consists of the addition of:

- £4.893m of contribution approval for Yeovil Western Corridor;
- £0.032m of contribution approval for M5 Junction 25.

This sum also consists of the removal of:

- £3.001m of grant from M5 Junction 25 (too much approval added in error);
- £2.721m of contributions from Colley Lane, Bridgwater (too much approval added in error);
- £0.098m of contributions from Wyndham Bus Gate, Yeovil (scheme complete).

5. Highways and Traffic Management (-£0.031m)

Removal of grant funding for Road Structures (-£0.025m) and Speed Indicator Devices (-£0.006m) as both projects have come to an end.

6. Other Services (-£0.075m)

Removal of revenue funded approval for Museums. This has led to an over spend as detailed in Appendix C which will need to be addressed by Service in year.

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Forecast Expenditure for 2019/20 and Future Years

<i>Col 1</i>	<i>Col 2</i>	<i>Col 3</i>	<i>Col 4</i>	<i>Col 5</i>	<i>Col 6</i>	<i>Col 7</i>
Service Area	Current Year £m	2020/21 £m	2021/22 £m	2022/23 £m	2023/24 onwards £m	Total
Schools - Primary and Secondary Sector	48.443	30.945	32.641	26.269	2.387	140.685
Local Enterprise Partnership	53.337	5.825				59.162
Economic Development	6.416	11.009	19.384	9.568	0.350	46.727
Highways Engineering Projects	19.139	17.285	1.358			37.782
Highways and Traffic Management	35.050	3.798	0.731			39.579
Support Services	10.557	3.757	0.587	0.104		15.005
Somerset Waste Partnership	18.480	2.650	3.380			24.510
Early Years and Community Services	0.488	1.794	2.872	2.533	1.642	9.329
Flood And Water	0.023					0.023
Schools - SEN and Access	0.832	0.634	0.700	0.700	0.369	3.235
Other Services	3.328	0.989	0.124	0.099	0.100	4.640
TOTAL	196.093	78.686	61.777	39.273	4.848	380.677
Financing						
Loans Pool Funded	62.297	40.994	37.152	29.407	3.274	173.124
Internal Funds	1.133					1.133
Capital Receipts	1.977	1.516	1.375			4.868
Revenue	0.020					0.020
Third Party Contributions	11.815	2.305	10.049	0.280	1.390	25.839
Grants	118.851	33.871	13.201	9.586	0.184	175.693
TOTAL	196.093	78.686	61.777	39.273	4.848	380.677

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Net projected over/under spend as at 31 July 2019

<i>Col 1</i>	<i>Col 2</i>	<i>Col 3</i>	<i>Col 4</i>	<i>Col 5</i>	
Service Area	Approvals Position as at end July 2019 £m App A Col 7	Predicted Over Spend £m	Predicted Under Spend £m	+Over/- Under spend as % of Approval Col 3 or 4/Col 2	N O T E S
Schools - Primary and Secondary Sector	217.072	+4.600	-4.611	-0.01%	1
Local Enterprise Partnership	147.077				
Economic Development	126.189				
Highways Engineering Projects	97.081	+0.220		0.23%	2
Highways and Traffic Management	95.504		-0.620	-0.65%	3
Support Services	36.908		-0.658	-1.78%	4
Somerset Waste Partnership	24.510				
Early Years and Community Services	12.669		-0.089	-0.70%	5
Flood And Water	10.310				
Schools - SEN and Access	7.122				
Other Services	14.443	+0.056	-0.253	-1.36%	6
TOTAL	788.885	+4.876	-6.231	-0.17%	

Notes – Summarised below are details of the key items contributing towards the information reported in the above table.

1. This sum comprises of the following:

- £0.011m favourable variance of capital Receipts for an old West Somerset Community College scheme;
- £4.600m favourable variance within the generic Schools Basic Need schemes offset by the following 3 school projects;
- £1.000m additional funding requirement against Bridgwater College Academy;
- £.0600m additional funding requirement against Somerton King Ina Replacement school;

- £3.000m additional funding requirement against New Bridgwater Special school (Bower Lane).

Members should note that a decision paper is currently being written to request the virement of the under spent approval within generic Schools Basic Need to the three specific over spending school projects. This impacts the funding available for future known projects which will be dealt with by continuing to manage the funds available for the ongoing whole programme and/or through later MTFP bids.

2. This sum comprises of the following:
 - £0.199m over spend of borrowing against Taunton NIDR;
 - £0.021m over spend of grant for A38 Huntworth Roundabout.
3. This sum comprises of the following:
 - £0.526m under spend of grant for Yeovil Eastern Corridor;
 - £0.078m under spend of contributions for Bridgwater Hospital;
 - £0.011m under spend of contributions for Crewkerne Traffic Management;
 - £0.004m under spend of contributions for Godminster Lane;
 - £0.001m under spend of contributions for Ilchester to Yeovil Cycleway.
4. This sum comprises of the following:
 - £0.615m under spend of borrowing for Corporate ICT and Investment;
 - £0.032m under spend of capital receipts for the Northgate site;
 - £0.011m under spend of contributions for the Data Room Replacement.
5. This sum comprises of the following:
 - £0.089m under spend of capital receipts for Brock House Children's Centre.
6. This sum comprises of the following:
 - £0.116m under spend of borrowing for Fleet Management;
 - £0.080m under spend of grant for the Bridgwater and Taunton Canal;
 - £0.022m under spend of grant for the Library Service Management System;
 - £0.020m under spend of borrowing for Bridgwater and Taunton Canal;

- £0.014m under spend of contributions for Bridgwater and Taunton Canal;
- £0.056m over spend of grant for Museums.

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